

FORM PTO-1390  
(REV 11-98)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

1-14746

U.S. APPLICATION NO. (if known, see 37 CFR 1.51)

09/423534

INTERNATIONAL APPLICATION NO.  
PCT/DE98/01316INTERNATIONAL FILING DATE  
12 May 1998 (12.05.98)PRIORITY DATE CLAIMED  
12 May 1997 (12.05.97)

TITLE OF INVENTION DEVICE FOR MEASURING LIGHT-ACTIVATED FLUORESCENCE AND ITS USE

APPLICANT(S) FOR DO/EO/US MATTHIAS LAU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1)
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Express Mail Certificate, Formal Drawings return care;
  - International Preliminary Examination Report IPEA/409;
  - International Search Report ISA/210;
  - copies of PCT Forms IB/301 and RO/101 (Request);
  - copy of cover page of published international application;

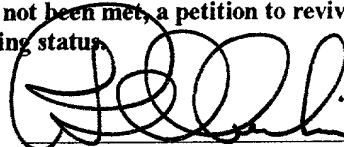
U.S. APPLICATION NO. <b>09/42354</b>		INTERNATIONAL APPLICATION NO. PCT/DE98/01316		ATTORNEY'S DOCKET NUMBER 1-14746	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$970.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$840.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$760.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$670.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$96.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> PTO USE ONLY	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ -	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	20 - 20 =	0	X \$18.00	\$ -	
Independent claims	1 - 3 =	0	X \$78.00	\$ -	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$ -	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 840.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ -	
<b>SUBTOTAL =</b>				\$ 840.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ -	
<b>TOTAL NATIONAL FEE =</b>				\$ 840.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				\$ -	
<b>TOTAL FEES ENCLOSED =</b>				\$ 840.00	
				Amount to be:	\$
				refunded	
				charged	\$

- a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 13-1816. ~~A duplicate copy of this sheet is enclosed.~~

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

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SIGNATURE:

PHILLIP S. OBERLIN

NAME

19,066

REGISTRATION NUMBER

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)  
1-14746

Applicant, Patentee, or Identifier: MATTHIAS LAU

Application or Patent No.: 09/423,534

Filed or Issued: \_\_\_\_\_

Title: Device For Measuring Light-Activated Fluorescence And Its Use

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.  
☒ Each such person, concern, or organization is listed below.

UWE KIRSCHNER  
Alttrachau 41, D-01139  
Dresden, Germany

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

MATTHIAS LAU  
NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

M. Lau  
Signature of inventor

Signature of inventor


Signature of inventor

11-23-99  
Date

Date

Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>STATEMENT BY A NON-INVENTOR SUPPORTING A CLAIM BY ANOTHER FOR SMALL ENTITY STATUS</b>	Docket Number (Optional)  1-14746
Applicant, Patentee, or Identifier: <u>MATTHIAS LAU</u>  Application or Patent No.: <u>09/423,534</u>  Filed or Issued: _____  Title: <u>Device For Measuring Light-Activated Fluorescence And Its Use</u>  I hereby state that I am making this statement to support a claim by <u>Matthias Lau</u> for small entity status for purposes of paying reduced fees to the United States Patent and Trademark Office, regarding the invention described in:  <input type="checkbox"/> the specification filed herewith with title as listed above. <input checked="" type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.  I hereby state that I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees to the United States Patent and Trademark Office, if I had made the above identified invention.  I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). Note: Separate statements are required from each person, concern or organization having rights to the invention to their status as small entities. (37 CFR 1.27)  Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:  <input checked="" type="checkbox"/> no such person, concern, or organization exists. <input type="checkbox"/> each such person, concern, or organization is listed below.  I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))  NAME OF PERSON SIGNING <u>UWE KIRSCHNER</u>  TITLE IN ORGANIZATION OF PERSON SIGNING <u>-</u>  ADDRESS OF PERSON SIGNING <u>Alttrachau 41, D01139 Dresden, Germany</u>  SIGNATURE <u></u> DATE <u>24.11.99</u> <div style="text-align: right;">November 24, 1999</div>	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

"Express Mail" Label Number EL424639244US

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on November 9, 1999 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen J. Moore  
(Signature of person mailing correspondence)

Kathleen J. Moore

(Typed name of person mailing correspondence)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	]	
MATTHIAS LAU	]	Group Art Unit:
	]	
Serial No.	]	
Filed:	]	Examiner:
	]	
Filing Under 35 U.S.C. 371 in	]	
the DO/EO/US off PCT/DE98/01316	]	
filed January 16, 1998	]	Attorney Docket 1-14746
	]	
For: DEVICE FOR MEASURING LIGHT-	]	
ACTIVATED FLUORESCENCE AND	]	
ITS USE	]	

November 9, 1999

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Honorable Sir:

Prior to the first Office Action, please amend the application being filed concurrently herewith under 35 U.S.C. 371 as follows:

IN THE SPECIFICATION

Page 1, above line 1, insert --TITLE--; line 2, insert --BACKGROUND OF THE INVENTION--; line 3, insert --1. Field of the Invention--; and between lines 31 and 32, insert --2. Description of the Related Art--.

On page 2, between lines 15 and 16 insert the following:

-- In addition, GB 2265711 A1 describes an optical fibre sensor in which two optical fibres inclined at a specific angle to one another are to be used. In this case, one of the optical fibres serves the purpose of sending light, and the other optical fibre serves the purpose of receiving reflected light and directing it onto a suitable detector. The alignment of the two optical fibres at an angle to one another is proposed there in order to achieve enlargement of the possible detection range of reflected light, since it is possible to achieve an enlarged overlap of the light exit cone with the light entrance cone of the two optical fibres.

US 3,992,631 describes a system and a method for carrying out fluorescence immune tests in which, interrogatory alia, reference is made to the possibility of using different optical fibres in a bundle arrangement.

#### SUMMARY OF THE INVENTION--

Page 8, between lines 31 and 32, insert --BRIEF DESCRIPTION OF THE DRAWINGS--.

Page 10, between lines 7 and 8, insert --DESCRIPTION OF THE PREFERRED EMBODIMENTS--.

#### IN THE CLAIMS

Please delete original claims 1 - 22 and add the following new claims to incorporate the amendments previously filed in

the European Patent Office on April 16 and May 17, 1999, in PCT/DE98/01316, priority of which is claimed, as follows:

23. Device for measuring fluorescence excited by light, which has at least one layer (11, 32) which is applied to a support (14, 30) and contains a fluorescing material, having at least one light source (2) which emits light of at least one wavelength that excites fluorescence(s) in the layer(s) (11, 32), and which is directed through the support (14, 30) onto the layer(s) (11) by at least one first optical conductor (3, 15, 16, 18), the fluorescent light being directed by at least one second optical conductor (15) onto at least one detector (4) for determining the intensity of the fluorescent light, characterized in that the end faces of all the optical conductors (3, 15, 16, 20, 21, 22, 23) are arranged relative to one another, taking account of their numerical apertures and/or with reference to at least one layer (11, 32) containing a fluorescing material and being applied to the support (14, 30), and optical conductors (20, 21, 22) which are arranged as a bundle in the shape of a ring are arranged with an optical conductor (20, 22), arranged in the interior of the ring, for exciting light or for fluorescent light, or a plurality of optical conductors (3, 15, 16) are arranged in series arrangements opposite one another in pairs, such that it is possible to achieve a local assignment of the measurable fluorescence intensity, and the light source(s) (2), optical conductors (3, 15, 16, 18, 20, 22, 31, 33) and the detector(s) (4, 5) are held in a measuring head (1).

24. Device according to claim 23, wherein at least the part of the measuring head (17) which holds the outer end(s) of the optical conductors (3, 15, 16, 18) is/are of flexible construction.

25. Device according to claim 23, wherein the upper measuring head region (17) is at least partially bent.

26. Device according to claim 23, wherein the filter (7, 8), a system of exchangeable filters and/or a launching optical system (20) is/are arranged in each case between the light source (2) and optical conductor (3, 18) and/or between the detector (4) and optical conductor (15, 18).

27. Device according to claim 23, wherein a plurality of optical conductors (20, 21, 22) are arranged in the shape of a ring, a circular arc and/or a star on the measuring head end (17) pointing towards the fluorescing layer(s).

28. Device according to claim 27, wherein optical conductors (20) for exciting light and reference light (21) or a further fluorescent light are arranged in an alternating fashion in an outer ring, and optical conductors (22) for fluorescent light are arranged in an inner ring.

29. Device according to claim 23, wherein the optical conductors (3, 15, 16, 20, 21, 22) for exciting light, fluorescent light and reference light or a further fluorescent light are inclined at different angles with their ends pointing towards the fluorescing layer.

30. Device according to claim 23, wherein there is arranged on the upper measuring head region a heater (12) having a temperature sensor (13) and a controller or regulator which is arranged in the measuring head and maintains a prescribable temperature at the fluorescing layer(s) (11) and/or at the upper measuring head region (17).

31. Device according to claim 23, wherein the support (30), which is transparent to the exciting light and fluorescent light, has at least partially polished or reflecting surface regions (36, 37) and/or is surrounded there by a medium of lower refractive index, and is mounted in an exchangeable fashion on the measuring head (1).

32. Device according to claim 31, wherein the exciting light is launched into the support (30) with the aid of at least one optical conductor (31) such that the exciting light is totally reflected at least in the region of the layer (32), and damped total reflection occurs.

33. Device according to claim 31, wherein the support (30) is constructed in an elongated fashion in a plane.

34. Device according to claim 31, wherein the support (30) is subdivided along its longitudinal axis into a plurality of regions (30.1, 30.2, 30.3).

35. Device according to claim 31, wherein on the end face opposite its end face into which the exciting light can be launched, the support (30) has an angular surface and a layer (32) which contains a fluorescing material and at which the exciting and fluorescent light is reflected in the direction of a planar optical conductor (35) constructed symmetrically relative to the support (30), and the light from the angular surface thereof is directed onto an end face arranged at the other end of the optical conductor (35), and from there at least fluorescent light is directed onto a detector (4) via at least one optical conductor (15), the support (30) and planar optical conductor (35) being arranged at a spacing from one another and/or being optically separated as far as into the region of the angular surfaces.

36. Device according to claim 31, wherein the support (30) is of u-shaped construction, the two limbs (30', 30'') are arranged at least partially spaced apart and/or are optically separated from one another, and the exciting light can be launched into an end face of a limb (30') via at least one optical conductor (31), and at least fluorescent light can be coupled out via the end face of the other limb (30'') into at least one further optical conductor (33).

37. Device according to claim 36, wherein the two limbs (30', 30'') of the u-shaped support (30) are connected in the shape of a bow, a wedge or a cone, or by means of an angular web (30''').

38. Device according to claim 23, wherein heating elements (12) and/or temperature sensors (13) are integrated or can be introduced into the support (30).

39. Device according to claim 23, wherein between an optical conductor for fluorescence-exciting light and a layer (32) containing fluorescing material, a transparent body (40) made from an optically scattering material is arranged, or a diffusely scattering surface pointing to the layer (32), is constructed or arranged on the body (40).

40. Device according to claim 39, wherein the body (40) is formed from optically transparent material which contains light-scattering particles and/or is wavelength-selective.

41. Device according to claim 23, wherein at least one further optical conductor (16) directs reflected light onto a further detector (5) for detecting a reference signal.

42. Device according to claim 23, wherein the upper heated region is thermally insulated with respect to the lower region, in which the light source(s) (2) and the detector(s) (4, 5) are held.

43. Use of a device according to claim 23 for detecting fluorescence-quenching, fluid materials.

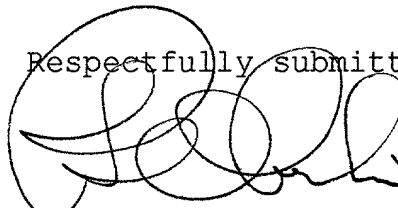
REMARKS

Applicants have amended the specification and claims to 1) eliminate multiple dependencies and 2) to incorporate the amendments previously filed in the European Patent Office on April 16 and May 17, 1999, in PCT/DE98/01316, priority of which is claimed (English translation of the amended claims and specification pages are attached hereto as Exhibit A).

Claims 1-22 have been deleted and claims 23-43 have been added. Claims 23-43 are currently pending in the present application. No new matter has been added by these amendments.

Favorable consideration of the application as amended is respectfully requested.

Respectfully submitted,



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and that further-  
more, errors have occurred due to coupling drift  
(temperature fluctuation, mismatching, or due to modem  
coupling), and could be taken into account only with  
difficulty.

DD 106 086 describes a measuring probe in which  
fluorescence is excited in a layer, the exciting light  
being directed onto the layer by a single optical fibre  
which surrounds, in the shape of a ring, at least one  
further optical fibre for fluorescent light. The  
fluorescent light can be measured with a detector, and  
the measured value thereof can be used as a measure of

the content or the concentration of a material, as a consequence of fluorescence quenching. Use is made for a reference measurement of a second optical fibre which directs fluorescent light of a layer region, which is screened from the measurement medium, onto a second detector.

However, it is not possible with this solution to ensure a concrete and accurate local assignment of the detectable fluorescence intensity over the excited layer surface, something which is, however, also necessary for accurate measurements because of an imprecisely defined local excitation or a non-defined, inhomogeneous arrangement of the fluorescing material in the layer. Moreover, an absolute optical separation is necessary for a simultaneous reference measurement or further measurements for other materials.

In addition, GB 2265711 A1 describes an optical fibre sensor in which two optical fibres inclined at a specific angle to one another are to be used. In this case, one of the optical fibres serves the purpose of sending light, and the other optical fibre serves the purpose of receiving reflected light and directing it onto a suitable detector. The alignment of the two optical fibres at an angle to one another is proposed there in order to achieve enlargement of the possible detection range of reflected light, since it is possible to achieve an enlarged overlap of the light exit cone with the light entrance cone of the two optical fibres.

US 3,992,631 describes a system and a method for carrying out fluorescence immune tests in which, inter alia, reference is made to the possibility of using different optical fibres in a bundle arrangement.

It is therefore the object of the invention to propose a device which can be of miniaturized construction and therefore be adapted flexibly to different applications and achieves a satisfactory measuring accuracy.

**Patent claims**

1. Device for measuring fluorescence excited by light, which has at least one layer (11, 32) which is applied to a support (14, 30) and contains a fluorescing material, having at least one light source (2) which emits light of at least one wavelength that excites fluorescence(s) in the layer(s) (11, 32), and which is directed through the support (14, 30) onto the layer(s) (11) by at least one first optical conductor (3, 15, 16, 18), the fluorescent light being directed by at least one second optical conductor (15) onto at least one detector (4) for determining the intensity of the fluorescent light, characterized in that the end faces of all the optical conductors (3, 15, 16, 20, 21, 22, 23) are arranged relative to one another, taking account of their numerical apertures and/or with reference to at least one layer (11, 32) containing a fluorescing material and being applied to the support (14, 30), and optical conductors (20, 21, 22) which are arranged as a bundle in the shape of a ring are arranged with an optical conductor (20, 22), arranged in the interior of the ring, for exciting light or for fluorescent light, or a plurality of optical conductors (3, 15, 16) are arranged in series arrangements opposite one another in pairs, such that it is possible to achieve a local assignment of the measurable fluorescence intensity, and the light source(s) (2), optical conductors (3, 15, 16, 18, 20, 22, 31, 33) and the detector(s) (4, 5) are held in a measuring head (1).

2. Device according to Claim 1, characterized in that at least the part of the measuring head (17) which holds the outer end(s) of the optical conductors (3, 15, 16, 18) is/are of flexible construction.

3. Device according to Claim 1 or 2, characterized in that the upper measuring head region (17) is at least partially bent.

4. Device according to one of Claims 1 to 3, characterized in that a filter (7, 8), a system of exchangeable filters and/or a launching optical system (20) is/are arranged in each case between the light source (2) and optical conductor (3, 18) and/or between the detector (4) and optical conductor (15, 18).

5. Device according to one of Claims 1 to 4, characterized in that a plurality of optical conductors (20, 21, 22) are arranged in the shape of a ring, a circular arc and/or a star on the measuring head end (17) pointing towards the fluorescing layer(s).

6. Device according to Claim 5, characterized in that optical conductors (20) for exciting light and reference light (21) or a further fluorescent light are arranged in an alternating fashion in an outer ring, and optical conductors (22) for fluorescent light are arranged in an inner ring.

7. Device according to one of Claims 1 to 6, characterized in that the optical conductors (3, 15, 16, 20, 21, 22) for exciting light, fluorescent light and reference light or a further fluorescent light are inclined at different angles with their ends pointing towards the fluorescing layer.

8. Device according to one of Claims 1 to 7, characterized in that there is arranged on the upper measuring head region a heater (12) having a temperature sensor (13) and a controller or regulator which is arranged in the measuring head and maintains a prescribable temperature at the fluorescing layer(s) (11) and/or at the upper measuring head region (17).

9. Device according to one of Claims 1 to 8, characterized in that the support (30), which is transparent to the exciting light and fluorescent light, has at least partially polished or reflecting surface regions (36, 37) and/or is surrounded there by a medium of lower refractive index, and is mounted in an exchangeable fashion on the measuring head (1).

10. Device according to Claim 9, characterized in that the exciting light is launched into the support

(30) with the aid of at least one optical conductor (31) such that the exciting light is totally reflected at least in the region of the layer (32), and damped total reflection occurs.

5 11. Device according to Claim 9 or 10, characterized in that the support (30) is constructed in an elongated fashion in a plane.

12. Device according to Claims 9 to 11, characterized in that the support (30) is subdivided  
10 along its longitudinal axis into a plurality of regions (30.1, 30.2, 30.3).

13. Device according to Claims 9 to 12, characterized in that on the end face opposite its end face into which the exciting light can be launched, the  
15 support (30) has an angular surface and a layer (32) which contains a fluorescing material and at which the exciting and fluorescent light is reflected in the direction of a planar optical conductor (35) constructed symmetrically relative to the support (30),  
20 and the light from the angular surface thereof is directed onto an end face arranged at the other end of the optical conductor (35), and from there at least fluorescent light is directed onto a detector (4) via at least one optical conductor (15), the support (30)  
25 and planar optical conductor (35) being arranged at a spacing from one another and/or being optically separated as far as into the region of the angular surfaces.

14. Device according to Claims 9 to 13,  
30 characterized in that the support (30) is of u-shaped construction, the two limbs (30', 30'') are arranged at least partially spaced apart and/or are optically separated from one another, and the exciting light can be launched into an end face of a limb (30') via at  
35 least one optical conductor (31), and at least fluorescent light can be coupled out via the end face of the other limb (30'') into at least one further optical conductor (33).

15. Device according to Claim 14, characterized in that the two limbs (30', 30'') of the u-shaped support (30) are connected in the shape of a bow, a wedge or a cone, or by means of an angular web (30''').
- 5 16. Device according to one of Claims 1 to 14, characterized in that heating elements (12) and/or temperature sensors (13) are integrated or can be introduced into the support (30).
- 10 17. Device according to one of Claims 1 to 16, characterized in that between an optical conductor for fluorescence-exciting light and a layer (32) containing fluorescing material, a transparent body (40) made from an optically scattering material is arranged, or a diffusely scattering surface pointing to the layer
- 15 (32), is constructed or arranged on the body (40).
18. Device according to Claim 17, characterized in that the body (40) is formed from optically transparent material which contains light-scattering particles and/or is wavelength-selective.
- 20 19. Device according to one of Claims 1 to 18, characterized in that at least one further optical conductor (16) directs reflected light onto a further detector (5) for detecting a reference signal.
- 25 20. Device according to one of Claims 1 to 19, characterized in that the upper heated region is thermally insulated with respect to the lower region, in which the light source(s) (2) and the detector(s) (4, 5) are held.
21. Use of a device according to one of Claims 1 to
- 30 20 for detecting fluorescence-quenching, fluid materials.

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DEVICE FOR MEASURING LIGHT-ACTIVATED FLUORESCENCE AND ITS USE

The invention relates to a device for measuring  
5 fluorescence excited by light at at least one layer  
containing a fluorescing material, and to the use  
thereof for measuring fluid materials which effect  
fluorescence quenching in at least one of the  
fluorescing layers.

10 Measuring methods and measuring devices  
customarily used to date have the disadvantage that the  
ratio of fluorescent light to the light required to  
excite the fluorescence is very low, with the result  
that a separation is required and, consequently, a  
15 miniaturization, which is necessary for many  
applications, has so far been ruled out.

Further known solutions do not achieve  
satisfactory separation between the exciting light and  
the fluorescent light.

20 To counter this, use has so far been made of an  
expensive, complicated optical design which requires  
many optical elements, which are also cost intensive,  
the result being, in particular, the appearance of  
problems with the miniaturization and process  
25 integration.

The known solutions also have the disadvantage  
that the detection of the measuring signal has  
proceeded relatively slowly and that furthermore,  
errors have occurred due to coupling drift (temperature  
30 fluctuation, mismatching, or due to modem coupling),  
and could be taken into account only with difficulty.

DD 106 086 describes a measuring probe in which  
fluorescence is excited in a layer, the exciting light  
being directed onto the layer by a single optical fibre  
35 which surrounds, in the shape of a ring, at least one  
further optical fibre for fluorescent light. The  
fluorescent light can be measured with a detector, and  
the measured value thereof can be used as a measure of  
the content or the concentration of a material, as a

consequence of fluorescence quenching. Use is made for a reference measurement of a second optical fibre which directs fluorescent light of a layer region, which is screened from the measurement medium, onto a second  
5 detector.

However, it is not possible with this solution to ensure a concrete and accurate local assignment of the detectable fluorescence intensity over the excited layer surface, something which is, however, also  
10 necessary for accurate measurements because of an imprecisely defined local excitation or a non-defined, inhomogeneous arrangement of the fluorescing material in the layer. Moreover, an absolute optical separation is necessary for a simultaneous reference measurement  
15 or further measurements for other materials.

It is therefore the object of the invention to propose a device which can be of miniaturized construction and therefore be adapted flexibly to different applications and achieves a satisfactory  
20 measuring accuracy.

According to the invention, this object is achieved by means of the features of Patent Claim 1. Advantageous embodiments and developments of the invention follow in the case of the use of the features  
25 named in the dependent claims.

The device according to the invention for measuring fluorescence excited by light at at least one layer containing a fluorescing material essentially comprises a measuring head in which at least one light  
30 source which emits light of wavelength(s) exciting fluorescence(s) in the layer or layers, and at least one detector which measures the intensity of the fluorescent light, are held. The light directed onto the layer(s) in order to excite the fluorescence is  
35 directed onto the fluorescing layer via at least one optical conductor. In this case, the same optical conductor can also direct the fluorescent light onto the detector. A plurality of fluorescing layers can be arranged next to one another in a fashion separated

from one another locally or, if appropriate, partially overlapping, and be irradiated in each case with exciting light.

It is important for the end faces of the  
5 optical conductors of the fluorescent light to be arranged and/or aligned taking account of the numerical apertures of all the optical conductors, in order to achieve an accurate local assignment of the measured values. A further possibility for achieving this aim  
10 consists in aligning these optical conductors with reference to one or more layer(s) containing fluorescing material(s).

For the measurement, the fluorescing layer(s)  
15 is/are arranged on the end or ends of the optical conductors or on a suitable support or a body, or make contact therewith.

Optical fibres are preferably used as the optical conductors.

There is thus, in principle, the possibility of  
20 arranging a plurality of different fluorescing layers, and using them with one or more different light sources which in each case emit light with wavelengths which excite fluorescence(s). It is thereby possible with the aid of only one measurement to detect a plurality of  
25 different fluid materials which effect fluorescence quenching in the different layers.

However, the invention can also be developed for the use of a plurality of optical fibres which direct different types of light to different detectors  
30 arranged separately from one another.

Thus, for example, the light of a light source can be directed onto a fluorescing layer, from there the fluorescent light can be directed by a second optical fibre onto a detector arranged in the measuring  
35 head, and, for the purpose of obtaining a reference signal, exciting light reflected in the layer can be directed onto a second detector by a third optical fibre. The third, or an additional, optical fibre can also be used for a second fluorescent light.

In this case, the fluorescing layer or a plurality of fluorescing layers which are preferably applied to a substrate serving as support can simply be plugged onto the measuring head using a cap or an exchangeable support, thus rendering a simple exchange possible. In this case, it is particularly advantageous when a coupling medium is present between the substrate, to which the fluorescing layer(s) is/are applied, and the ends of the optical fibres, in order to reduce light losses.

It is favourable for various applications when at least a part of the measuring head, and in this case at least the part which holds the optical fibres, which is directed in the direction of the fluorescing layer(s), is of flexible construction, or the upper part of the measuring head is at least partially bent.

In order to improve the optical properties of the device according to the invention, it is advantageous for a filter and/or a launching optical system to be arranged between the light source or sources and the respectively assigned optical fibres, in order, on the one hand, to avoid light losses and, on the other hand, to delimit the wavelength region of the light which is directed onto the respective fluorescing layer, so that the measuring errors can be further reduced. It is particularly favourable that the filters can be exchanged for others which are suitable for other wavelengths, that is to say other fluorescing materials, and consequently also other materials to be detected.

A corresponding arrangement of coupling-out optical systems and/or filters upstream of the various detectors acts in the same way.

In the device according to the invention, however, it is also possible to make use of a bundle of a plurality of optical fibres, it being possible to arrange the individual optical fibres in the bundle differently in order to be able to detect optimum measuring signals of fluorescent light, and reflected

light of the light source(s) moreover measuring errors can be minimized. The arrangement of the individual optical fibres in the bundle can be performed in this case in the shape of a ring, in one variant, and in the  
5 shape of a star, in a second variant.

In the case of an arrangement in the shape of a ring, it is possible to arrange next to one another in an alternating interchanging fashion in an outer ring optical fibres which, on the one hand, direct exciting  
10 light onto the fluorescing layer and direct light reflected there as reference signal onto a detector. It is then possible to arrange in a ring internal thereto optical fibres which direct fluorescent light onto at least one detector in the measuring head. An additional  
15 optical fibre which likewise directs exciting light onto the fluorescing layer can then be arranged at the centre of the ring.

In an arrangement of the individual optical fibres in the shape of a star, it is favourable to  
20 arrange at the centre of the star an optical fibre through which exciting light is directed onto the fluorescing layer, and to arrange next to one another in the shape of a star in an alternating interchange, optical fibres with which reference light and  
25 fluorescent light are directed onto detectors.

The arrangement of the respective optical fibres for the various types of light can, however, also be selected taking account of the arrangement of different fluorescing layers, it being possible, for  
30 example, to select an arrangement of the optical fibres in the shape of a circular arc when the fluorescing layers are preferably constructed as circular arcs and the local assignment is taken into account.

In another embodiment of the device according  
35 to the invention, the individual optical fibres are not, however, arranged in parallel but, at least in their end regions, that is to say in the direction of the fluorescing layer(s), are inclined at specific angles to one another, so that, for example,

fluorescence-exciting light is directed at a specific angle, which is not equal to  $90^\circ$ , onto the fluorescing layer, and there is aligned at a second correspondingly aligned angle at least one optical fibre by which the reflected reference light can enter and be directed onto a detector. A third optical fibre can then preferably be arranged orthogonally relative to the fluorescing layer through which the fluorescent light reaches the corresponding detector.

10 In all these cases, however, it is favourable to arrange and/or align the optical fibres such that for the purpose of launching and coupling out exciting and fluorescent light their end faces permit a local assignment of the measured fluorescent light, taking  
15 account of their numerical apertures.

It is favourable for specific applications of the device according to the invention when, at least in the upper measuring head region, a heater is present which can prevent condensation of, for example, water  
20 on the fluorescing layer(s). Moreover, it is favourable to use at least one temperature sensor and a corresponding controller or regulator to manipulate the heater in accordance with the ambient conditions, that is to say the ambient temperature and the atmospheric  
25 humidity, and thereby to be able to set different prescribable temperatures in the region of the fluorescing layer(s) and/or in the upper measuring head region. The heater can in this case be arranged in the upper measuring head region, but it is also possible to  
30 arrange appropriate heating elements in the immediate vicinity of the fluorescing layer(s). One possibility for this is to fit the heater on the substrate to which the fluorescing layer(s) is/are applied.

The device according to the invention can  
35 further be improved when the lower region of the measuring head is constructed in a thermally insulated fashion with respect to the upper heated measuring head region.

It can be favourable for various applications to construct the upper measuring head region not only in a flexible fashion but also in a tapering fashion, solely or in conjunction with a flexible design, it  
5 being possible to taper virtually to the diameter of the optical fibres.

Depending on the actual design of a measuring device according to the invention, it is then possible to detect at least one fluid material which effects a  
10 specific quantifiable measure of fluorescence quenching in the fluorescing layer. It is possible in this case to detect different materials with different fluorescing layers which are arranged next to one another. However, it is also possible in principle to  
15 detect a plurality of materials by directing light of different wavelengths onto only one fluorescing layer and carrying out the detection in terms of wavelength resolution.

Despite an at least partially integrated  
20 electronic evaluation system, the device according to the invention must be of small and flexible construction so that the most varied applications are possible. In particular, the slim and, if appropriate, flexible construction of the upper measuring head  
25 region has the positive effect that alignment relative to the measuring location or to the fluorescing layer(s) is possible in a simple way.

A further advantage consists in that the optical fibres can be used without rigid connections,  
30 such as optical connectors, with the result that an exchange is possible although the optical fibres are held fixed and therefore can no longer be moved, it thereby being possible to avoid modal noise.

If a plurality of optical fibres are used as a  
35 bundle, the most varied arrangements at the end of the measuring head in the direction of the fluorescing layer(s) can ensure optimum measuring conditions and reduce the component of scattered light as well as greatly minimize crosstalking of exciting light, and it

is also possible in this case to detect a reference signal.

5 The spatial separation and additional thermal insulation of the upper measuring head region can optimize the temperature control in the region of the fluorescing layer(s) with reference to energy consumption, and unnecessary heating of the lower region of the measuring head is prevented.

10 Further advantages of the invention are the better and more effective illumination of the fluorescing layer(s), and less influence from extraneous and scattered light.

15 The invention can take account of a plurality of material concentrations by means of different fluorescent dyes and/or reference signals. It is possible for such layers to be selectively excited and correspondingly detected.

20 The temperature control or heating can be carried out only in the immediate vicinity of the layers.

There is no need for any external optical connectors which could lead to coupling problems.

25 Miniaturization, a lower mass and, in addition, flexible access to the measuring medium are possible by optical separation of measuring tip and the detection and evaluation of measured values.

30 The device according to the invention is not only capable of flexible construction, but is also cost-effective to produce and operate, since some parts can also be replaced cost-effectively by being exchanged.

The invention is to be described in more detail below using exemplary embodiments.

In the drawing:

35 Figure 1 shows the diagrammatic design of a first example of a device according to the invention;

Figures 2,

2a, 2b show various arrangements of optical fibre bundles on the upper measuring head;

Figures 3,

5 3a, 3b show three examples of a measuring head according to the invention, in two views in each case;

Figure 4 shows a first example of a support which can be mounted on a measuring head, in two views;

10 Figure 5 shows a second example of a support which can be mounted on a measuring head, in two views;

Figure 6 shows a third example of a support which can be mounted on a measuring head, in two views;

15 Figure 7 shows a fourth example of a support which can be mounted on a measuring head, in two views;

Figure 8 shows a fifth example of a support which can be mounted on a measuring head, in two views;

Figure 9 shows a support with a symmetrically constructed planar optical conductor;

20 Figure 10 shows two symmetrically arranged supports;

Figure 11 shows examples for launching light into and coupling it out of end faces of supports which can be mounted on a measuring head;

25 Figure 12 shows a sixth example of a support which can be mounted on a measuring head, in two views;

Figure 13 shows a seventh example of a support which can be mounted on a measuring head, in two views;

30 Figure 14 shows an eighth example of a support which can be mounted on a measuring head, in two views;

Figure 15 shows a ninth example of a support which can be mounted on a measuring head, in two views;

35 Figure 16 shows a body which can be mounted on a measuring head;

Figure 17 shows a body which can be mounted on a measuring head;

Figure 18 shows a body which can be mounted on a measuring head;

Figure 19 shows a first holder for fluorescent layers,  
in three views;

Figure 20 shows a second holder for fluorescent layers  
in three views;

5 Figure 21 shows a measuring head for measuring with  
wavelength resolution, and

Figure 22 shows a further measuring head in two views.

The diagrammatic design of a first exemplary  
embodiment of a device according to the invention is  
10 represented in Figure 1.

In this case, there is held in the closed  
measuring head 1 at least one light source 2 from which  
exciting light is directed onto a fluorescing layer 11  
via a filter 6, which is preferably also an  
15 exchangeable bandpass filter, by the optical fibre 3,  
which is guided through the upper measuring head region  
17. Fluorescent light from the fluorescing layer 11  
passes through a second optical fibre 15 via an edge  
filter 6, possibly likewise exchangeable, onto a  
20 detector 4 with which the intensity of the fluorescent  
light can be measured, and the detector 4 is connected  
to an electronic evaluation system 9.

Reflected light then passes as reference signal  
through a third optical fibre 16, likewise via a filter  
25 8, which can, again, be exchangeable, onto a second  
detector 5, which is connected to a second electronic  
system 10.

In this case, the exchange of the filters 6, 8  
is advantageously to be possible from outside via  
30 openings with a lock.

A heater 12 which is mounted in a metal tip 14  
in order to improve the thermal conduction is then  
provided in the uppermost region of the upper measuring  
head region 17. Likewise held in the metal tip for the  
35 purpose of controlling or regulating the heater 12 is a  
temperature sensor 13 whose measuring signal is led to  
an electronic control system which then influences the  
heat output.

Two lines at the lower part of the measuring head 1 indicate connections to an electronic evaluation system which can further process the preprocessed signals from the electronic systems 9 and 10, and display and output them.

Of course, the number of the light sources 2 of the detectors 4 and 5 can be appropriately increased.

Different variants for possible arrangements of different optical fibres are then represented in Figures 2, 2a and 2b. Here, the upper representation in Figure 2 shows a bundle of different optical fibres, the filled-in optical fibres 20 directing light of the light source 2 onto the fluorescing layer. The hatched optical fibres 21 direct the light reflected at the layer as reference signal onto the detector 5, and the optical fibres 22, 23 direct fluorescent light from the fluorescing layer or layers onto one or more detector(s) 4.

Various arrangements of three optical fibres are represented in the lower left-hand and middle representations, the respective function corresponding to that already explained in the case of the above representation. Reproduced in the lower right-hand representation is an arrangement in the shape of a star of optical fibres in which a central optical fibre 20 for exciting light and, in alternating exchange around the middle optical fibre 20, optical fibres 21 and 22 are arranged, it being possible for the number of the optical fibres 21 and 22 arranged in the shape of a star to be increased at will.

In the lower representations of Figure 2, furthermore, the guidance of the different optical fibres 20, 21 and 22 in the upper measuring head region 17 is represented in preferred form. In this case, different optical fibres, arranged in the outer region, in particular, are constructed in an angled fashion so that it is possible to achieve an improved illumination of the fluorescing layer, and a reduction in the influence of extraneous light and scattered light.

The examples represented in Figure 2 are not only, however, limited to a design of a measuring head, according to the invention, in which only one fluorescing layer is used. A plurality of different  
5 fluorescing layers are used on the measuring head according to the invention, a local assignment of the different optical fibres required for the measurement can be performed in a simple way, with the result that optimum conditions can be obtained in each case for the  
10 various fluorescence and reference signals.

In each case, the optical fibres 22 can, however, be arranged and/or aligned such that, even taking account of their own numerical apertures and those of the optical fibres 20 for exciting light,  
15 locally defined regions can be detected in the layer or layers.

A second example of a measuring head 1 according to the invention is represented in Figure 3, in two views, from which it emerges that such a  
20 measuring head has a smaller width in relation to its length, and therefore, in particular, offers more favourable preconditions for measurement in flowing media than is the case with, for example, circular or square shapes, since the flow conditions, and  
25 consequently also the measurement result, can be negatively influenced by, for example, turbulence which is produced, higher flow rates or pressure rises.

Exchangeable supports, of which a few examples are represented in Figures 4 to 15 still to be  
30 described below, can then be mounted on such a measuring head 1.

As is also to be seen in Figure 3, optical fibres 3, 15, 16 can be arranged in row arrangements opposite one another in pairs, the rows being aligned  
35 parallel to the longitudinal axis of such a measuring head.

It is possible in this case to arrange in one row exclusively optical fibres 3 for exciting light, and in the opposite row exclusively optical fibres 15,

16 for fluorescent light, or at least in one row an alternating arrangement of optical fibres 3 for exciting light and optical fibres 15, 16 for fluorescent light.

5 Accommodated once again in the measuring head 1 are the light sources 2, preferably exchangeable filters 6 and 8, launching and coupling-out optical systems 25, detectors 4 and the corresponding electronic evaluation and control system 9.

10 Also represented in Figure 3 are temperature sensors 13 and heating elements 12 which project from the upper socket of the measuring head 1 in the form of a pin or in another suitable form, so that they can be positioned and fixed in a self-closed fashion in  
15 connection with correspondingly constructed holding bores in the supports 30 or bodies 40 (still to be described).

The supports 30 or bodies 40 can be mounted on the otherwise planar surface of the socket by means of  
20 an optical cement.

A measuring head 1 with a mounted body 40 in accordance with Figure 16 is to be seen in the right-hand representation in Figure 3a.

Figure 3b shows an example of a measuring head  
25 1 on which, again, a support 30 or body 40 can be mounted. The single or a plurality of heating element(s) 12 can be surrounded by a material 12.1 having good thermal conduction.

Represented in two different views in Figure 4  
30 is a first example of a support 30 which, as represented in Figure 3, can be mounted on a measuring head 1, and is made from an optically transparent material.

It is to be noted here that, as also holds for  
35 the following pictorial representations 5 to 13, the proportions do not correspond to the actual ones, rather, to simplify and improve comprehension, the width is represented to be substantially larger than is the case in a practical design, and in that for use in

flowing fluid media the width of such a support 30 is substantially smaller in relation to its length, with the result that the flow resistance is kept correspondingly low.

5           The support 30 in accordance with Figure 4 comprises two limbs 30', 30'' which are optically separated from one another at least partially by an interposed, preferably reflecting layer 36.

10           In this example, layers 32 containing fluorescing materials are applied to both outer sides of the support 30, and the remaining outer surfaces 37 are likewise constructed or coated to be reflective.

15           The exciting light is now irradiated via optical fibres 3 into at least one of the two end faces of the limbs 30', 30'' into the transparent support 30, and the fluorescence is excited there in the layers 32 by multiple reflection. A portion of the fluorescent light is irradiated again into the support 30 and, by reflection at the outer surfaces of the support 30,  
20           directed onto optical fibres 15, 16 for fluorescent light by the lower end faces of one or both limbs 30', 30'', and the intensity of the fluorescent light is detected by detectors 4 and, consequently, the material concentration can be measured as a consequence of  
25           fluorescence quenching.

30           Also to be seen in the left-hand representation of Figure 4 is the fact that the upper bounding surfaces of the support 30 are constructed inclined at an angle to one another, the angle being selected such that optimum reflection conditions can be achieved in accordance with the wavelengths used.

35           Represented in the right-hand representation of Figure 4 is a view orthogonal to the longitudinal axis of such a support 30, from which it may be seen that a plurality of regions can be separated optically from one another (also possible in the following examples) by, for example, reflecting layers 38, and different layers 32.1, 32.2 and 32.3 are applied or constructed in the regions. Given these different layers 32.1 to

32.3, it is possible to use a measuring head 1 according to the invention to determine a plurality of material concentrations simultaneously and/or to carry out at least one reference measurement in one of these regions. The same reference numerals are used for identical elements in the following figures.

A further variant of a support 30 is represented in Figure 5, this variant differing from those previously described only in the outer contour.

The example, represented in Figure 6 likewise in two views, of a support 30 which can be mounted on a measuring head 1 according to the invention corresponds essentially to parts of the support 30 already mentioned in the description of Figure 4.

The only point is that a cavity reaching over the entire length of the support 30, or one or more cutouts, whose surfaces are also provided with a reflecting coating 36 is/are constructed between the limbs 30' and 30''.

A self-closing fastening on the measuring head 1 can be achieved with this cavity or the cutout(s).

Constructed for this purpose on the surface of the measuring head 1 is an appropriate longitudinal web which can engage in a self-closed fashion in the cavity constructed in the support 30, and can hold it correspondingly.

If one or more cutouts are constructed in the support 30, the correspondingly shaped and contoured heating elements 12 and temperature sensors 13, or other, for example, pin-shaped elements without a further function, can, constructed exclusively for fastening such a support 30 on the measuring head 1, be inserted into the cutouts or cavities in a self-closed fashion and be held there fastened appropriately.

The support 30 likewise represented in two views in Figure 7 differs from the support 30 shown in Figure 6 once again only in the web-like flattening in the upper region.

In the support 30 represented in Figure 8, the layers 32 containing fluorescing materials are applied in the inclined upper region, with the result that they are not aligned parallel to one another, but are inclined relative to one another.

A particular design has been selected in the example of a support 30 represented in Figure 9. Use is made in this case only of a support 30 to which layer(s) 32.1 to 32.3 containing one or more fluorescing materials are applied, and, at a spacing therefrom, an otherwise symmetrically constructed planar optical conductor 35 which both have, above the layer(s) 32 containing fluorescing materials, a surface which is inclined at an angle and at which both the exciting light and the fluorescent light are reflected. In this example, exciting light is launched exclusively into the lower end face of the support 30 and reflected therein, so that fluorescence is excited in the layer(s) 32. Since the opposite surfaces of the support 30 and of the planar optical conductor 35 are constructed or coated in a reflecting fashion only in the lower part, at least a portion of the fluorescent light can pass by reflection at the inclined surface of the support 30 into the planar optical conductor 35 and be directed from the lower end face thereof via the appropriately arranged optical fibres onto the detectors for the purpose of measuring the fluorescence intensity. However, instead of the reflecting layers 36, it is also possible to introduce a less strongly refracting medium into the interspace in a fashion producing the same effect, this state of affairs also being valid for the examples according to Figures 6 to 8.

Moreover, instead of the planar optical conductor 35, it is also possible to use a second support 30, so that a symmetrical arrangement can be achieved, in which case it is then also possible thereby to apply different layers 32.

In the example represented in Figure 10, by contrast, for example in accordance with Figure 9, the layers 32 containing fluorescing materials are constructed or applied in the upper, inclined region of the supports 30.

In the supports 30 represented in Figure 4 to Figure 15, the layers 32 containing fluorescing materials can be applied directly to the corresponding surfaces of the supports 30. In another variant, however, the layers 32 containing fluorescing materials can be applied in advance to a preferably plate-shaped transparent substrate and be fastened subsequently thereto on the respective support 30 at the respective location, it being possible for this purpose to make use of mechanically acting self-closed and/or force-closed connections alone or in conjunction with an optically suitable binding agent, or of such a binding agent alone.

Figure 11 represents possible variants of the construction of end faces of the supports 30 or of the planar optical conductors 35 into which or from which the exciting light or the fluorescent light can respectively be launched or coupled out, these end faces being correspondingly inclined in all these examples such that the reflection in the limbs 30', 30'' of the supports 30 can be optimized, on the one hand, for the excitation of the fluorescence and, on the other hand, for the alignment of the fluorescent light to be measured.

In these cases, the upper part of the measuring head 1, on which such a support 30 is to be mounted, must be of complementary shape in order to avoid optical losses. The same also applies to the supports 30 of the examples according to Figures 14 and 15.

Figures 12 and 13 show further possibilities of how a support 30 can be constructed, only slightly modified U shapes having been represented here by way example.

Figures 14 and 15 show rotationally symmetrical supports 30 whose upper part is of conical construction, and in which the layers 32.1 and 32.2 containing fluorescing materials are arranged or constructed in the shape of a circular ring around the outer lateral surface of the support 30, if appropriate on an additional, appropriately constructed support, or directly on the surface.

The two examples of Figures 14 and 15 differ only in the construction of the reflecting coating 36. In both examples, the light is launched into and coupled out of the support 30 through conically recessed end faces.

Represented in Figure 16 is a body 40 made from an optically scattering material such as, for example, a polyester filled with titanium oxide, aluminium oxide or zirconium oxide, to which, in turn, layers 32.1 and 32.2 containing fluorescing materials are applied directly or on a flat substrate.

Such a body 40, which can also be designated as a diffuser plate, can have cutouts or cavities 42 which are dimensioned and arranged such that the body 40 can be mounted on a measuring head 1 as represented, for example, in Figure 3. In this case, the exciting light is radiated into the body 40 by the optical fibre 3 and distributed there diffusely, as a result of which a uniform excitation of fluorescence is achieved in the layers 32 and at least a portion of the fluorescent light is redirected into the body 40, and directed from there into the optical fibres 16 and 15 onto the detectors 4 for the purpose of measuring the fluorescence intensity.

It is also possible that the fluorescent light can be launched into the optical fibres 15, 16 from an end face of the layer(s) 32, and can thereby be directed onto the detector(s) 4, 5.

Such a body 40 can, however, also consist of an optically transparent material which is provided on the exposed surfaces with a reflecting coating, and the

surface of the body 40 is constructed in an optically scattering fashion in the region of the layers 32 containing fluorescing materials.

5 A cap 41 with a body 40, which can be constructed, in turn, as already set forth in the description of Figure 16, is shown in Figure 17, and on the body 40, in turn, at least one layer 32 containing a fluorescing material is arranged or constructed there. As was represented, for example, in Figure 1,  
10 the cap 41 can then be mounted on a measuring head 1, and in this case the arrangement and alignment of the optical fibres 15 and 16 for the fluorescent light should be performed to correspond with those of the respective layers 32.1 or 32.3.

15 A further example of a body 40 which can already be constructed, as mentioned above, is represented in Figure 18.

Such a body 40, can, in turn, easily be made available in a simple way as an exchangeable part, as  
20 is also the case for the cap 41 in accordance with Figure 17 and the body 40 in accordance with Figure 16.

If, as also represented in Figure 3a, the body 40 according to Figure 18 is mounted on a measuring head 1, the light of the light source 2 passes  
25 relatively accurately into the middle of the body 40 and is scattered there diffusely and fluorescence is excited in the layers 32.1 and 32.3 virtually simultaneously. The fluorescent light retroreflected into the body 40 passes via the limbs 40' and 40'' of  
30 the body 40 and the optical fibres 15 via an optical system 25 onto a photodetector 4, it being possible for an optical filter 8 to be arranged upstream of the latter, and the evaluation of the measuring signals being carried out with the electronic system 9  
35 integrated in the measuring head 1.

Figures 19 and 20 represent two examples of holders 43 on which it is possible to fasten layers 32.1 and 32.2 containing fluorescing materials. These layers 32.1 and 32.2 are preferably applied to a plane,

flat, transparent substrate which can be fastened on the holder 43 in a self-closed fashion and/or with a binding agent.

5 A holder 43 thus prepared can then readily be mounted and fastened on, for example, a body 40 which can, if appropriate, be a permanent component of a measuring head 1, as is represented in Figure 3a.

10 Represented in Figure 21 is a further example of a measuring head 1 according to the invention, on whose upper tip there is arranged, in turn, a layer 11 in which at least one fluorescing material is contained. Arranged, in turn, below this layer 11 is a temperature sensor 13 and a heating element 12, the aim being, if required, to prevent the formation of  
15 condensate on the layer 11.

The exciting light is once again launched into an optical fibre 3 starting from the light source 2 via an optical system 53 and an exchangeable filter 6, and directed onto the layer 11. The excited fluorescent  
20 light passes via the optical fibre 15, the optical systems 52 and the exchangeable filter 8 into a spectrometer 50, for the purpose of wavelength-resolved measurement, to different detectors 54' and 54'' via an optocoupler 51.

25 A further example of a measuring head 1 according to the invention is represented in Figure 22, in two views. In this case, the exciting light of the light source 2 is launched only on one side into a limb 30' or 30'' of a support 30 such as is represented in  
30 Figures 4 to 15, and coupled out again from the respective other limb 30' or 30'' or both limbs 30' and 30'', and directed onto detectors 4 in order to determine the fluorescence intensity.

Patent claims

1. Device for measuring fluorescence excited by light at at least one layer (11, 32) which is applied  
5 to a support (14, 30) and contains a fluorescing material, having at least one light source (2) which emits light of at least one wavelength that excites fluorescence(s) in the layer(s) (11, 32), and which is directed onto the layer(s) (11) by at least one first  
10 optical conductor (3, 15, 16, 18), the fluorescent light being directed by at least one second optical conductor (15) onto at least one detector (4) for determining the intensity of the fluorescent light, characterized in that the end faces of the various  
15 optical conductors (15, 16, 22, 33) for the fluorescent light being arranged, taking account of the numerical apertures of all the optical conductors and/or with reference to at least one layer (11, 32) containing a fluorescing material and applied to the support (14,  
20 30), such that it is possible to achieve a local assignment of the measurable fluorescence intensity, and the light source(s) (2), optical conductors (3, 15, 16, 18, 20, 22, 31, 33) and the detector(s) (4, 5) are held in a measuring head (1).
- 25 2. Device according to Claim 1, characterized in that at least the part of the measuring head (17) which holds the outer end(s) of the optical conductors (3, 15, 16, 18) is/are of flexible construction.
3. Device according to Claim 1 or 2, characterized  
30 in that the upper measuring head region (17) is at least partially bent.
4. Device according to one of Claims 1 to 3, characterized in that a filter (7, 8), a system of exchangeable filters and/or a launching optical system  
35 (20) is/are arranged in each case between the light source (2) and optical conductor (3, 18) and/or between the detector (4) and optical conductor (15, 18).
5. Device according to one of Claims 1 to 4, characterized in that a plurality of optical conductors

(20, 21, 22) are arranged in the shape of a ring, a circular arc and/or a star on the measuring head end (17) pointing towards the fluorescing layer(s).

6. Device according to Claim 5, characterized in that at least one optical conductor (20) directing exciting light onto the layer is arranged in the interior of the ring formed from a plurality of optical conductors (21, 22).

7. Device according to Claim 5, characterized in that optical conductors (20) for exciting light and reference light (21) or a further fluorescent light are arranged in an alternating fashion in an outer ring, and optical conductors (22) for fluorescent light are arranged in an inner ring.

8. Device according to one of Claims 1 to 7, characterized in that the optical conductors (3, 15, 16, 20, 21, 22) for exciting light, fluorescent light and reference light or a further fluorescent light are inclined at different angles with their ends pointing towards the fluorescing layer.

9. Device according to one of Claims 1 to 8, characterized in that there is arranged on the upper measuring head region a heater (12) having a temperature sensor (13) and a controller or regulator which is arranged in the measuring head and maintains a prescribable temperature at the fluorescing layer(s) (11) and/or at the upper measuring head region (17).

10. Device according to one of Claims 1 to 9, characterized in that the support (30), which is transparent to the exciting light and fluorescent light, has at least partially polished or reflecting surface regions (36, 37) and/or is surrounded there by a medium of lower refractive index, and is mounted in an exchangeable fashion on the measuring head (1).

11. Device according to Claim 10, characterized in that the exciting light is launched into the support (30) with the aid of at least one optical conductor (31) such that the exciting light is totally reflected

at least in the region of the layer (32), and damped total reflection occurs.

12. Device according to Claim 10 or 11, characterized in that the support (30) is constructed  
5 in an elongated fashion in a plane.

13. Device according to Claims 10 to 12, characterized in that the support (30) is subdivided along its longitudinal axis into a plurality of regions (30.1, 30.2, 30.3).

10 14. Device according to Claims 10 to 13, characterized in that on the end face opposite its end face into which the exciting light can be launched, the support (30) has an angular surface and a layer (32) which contains a fluorescing material and at which the  
15 exciting and fluorescent light is reflected in the direction of a planar optical conductor (35) constructed symmetrically relative to the support (30), and the light from the angular surface thereof is directed onto an end face arranged at the other end of  
20 the optical conductor (35), and from there at least fluorescent light is directed onto a detector (4) via at least one optical conductor (15), the support (30) and planar optical conductor (35) being arranged at a spacing from one another and/or being optically  
25 separated as far as into the region of the angular surfaces.

15. Device according to Claims 10 to 14, characterized in that the support (30) is of u-shaped construction, the two limbs (30', 30'') are arranged at  
30 least partially spaced apart and/or are optically separated from one another, and the exciting light can be launched into an end face of a limb (30') via at least one optical conductor (31), and at least fluorescent light can be coupled out via the end face  
35 of the other limb (30'') into at least one further optical conductor (33).

16. Device according to Claim 15, characterized in that the two limbs (30', 30'') of the u-shaped support

(30) are connected in the shape of a bow, a wedge or a cone, or by means of an angular web (30''').

17. Device according to one of Claims 1 to 16, characterized in that heating elements (12) and/or  
5 temperature sensors (13) are integrated or can be introduced into the support (30).

18. Device according to one of Claims 1 to 17, characterized in that between an optical conductor for fluorescence-exciting light and a layer (32) containing  
10 fluorescing material, a transparent body (40) made from an optically scattering material is arranged, or a diffusely scattering surface pointing to the layer (32), is constructed or arranged on the body (40).

19. Device according to Claim 18, characterized in  
15 that the body (40) is formed from optically transparent material which contains light-scattering particles and/or is wavelength-selective.

20. Device according to one of Claims 1 to 19, characterized in that at least one further optical  
20 conductor (16) directs reflected light onto a further detector (5) for detecting a reference signal.

21. Device according to one of Claims 1 to 20, characterized in that the upper heated region is thermally insulated with respect to the lower region,  
25 in which the light source(s) (2) and the detector(s) (4, 5) are held.

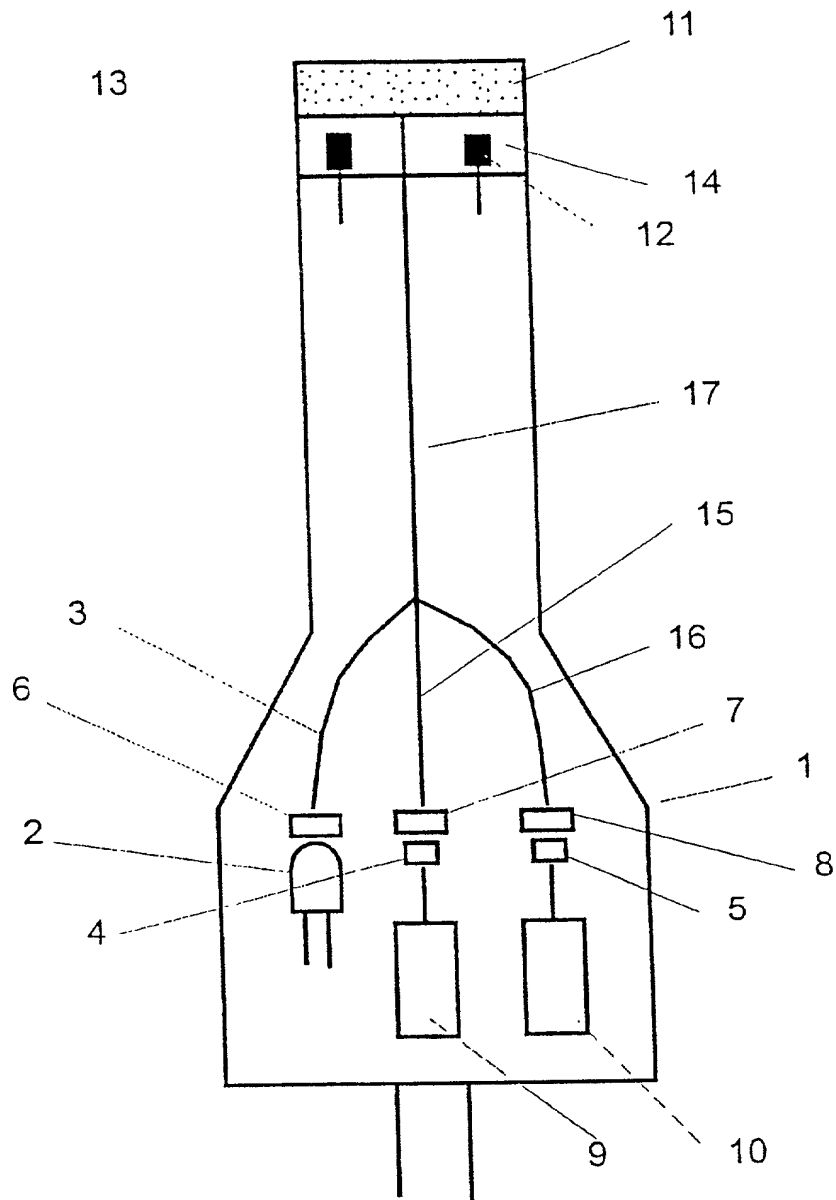
22. Use of a device according to one of Claims 1 to 21 for detecting fluorescence-quenching, fluid substances.

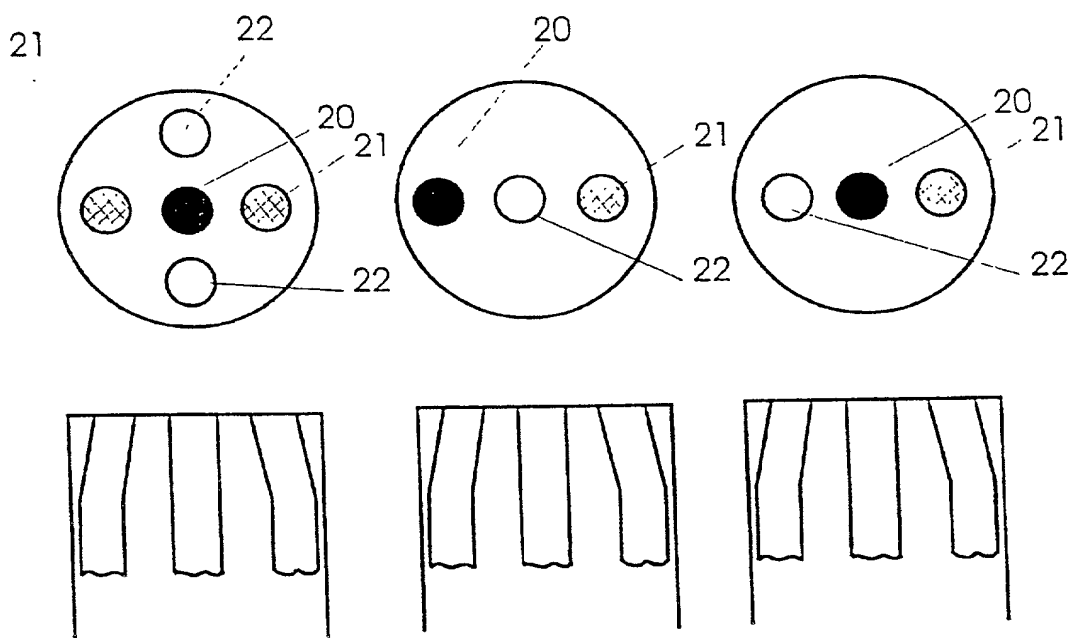
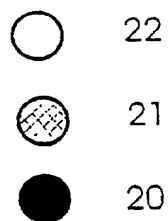
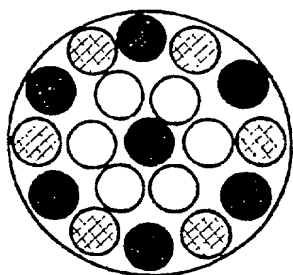
**Abstract**

The invention relates to a device for measuring light-activated fluorescence of at least one coating that contains a fluorescent material, and its use for measuring fluid materials which cause fluorescence-quenching in at least one of the fluorescent coatings. To activate the fluorescence, at least one first light wave guide is directed onto at least one coating applied to a support and the fluorescent light is directed at a detector by means of at least one second light wave guide, in order to determine the intensity of the fluorescent light. The end faces of the different fluorescent light wave guides are then arranged, taking into account the numerical apertures of the different light wave guides and/or with reference to at least one coating containing a fluorescent material, in such a way that a local coordination of the measurable fluorescence intensity can be attained, and that the light source(s), light wave guides and the detector(s) are lodged in a measuring head.

25

30

*Figur 1*



Figur 2

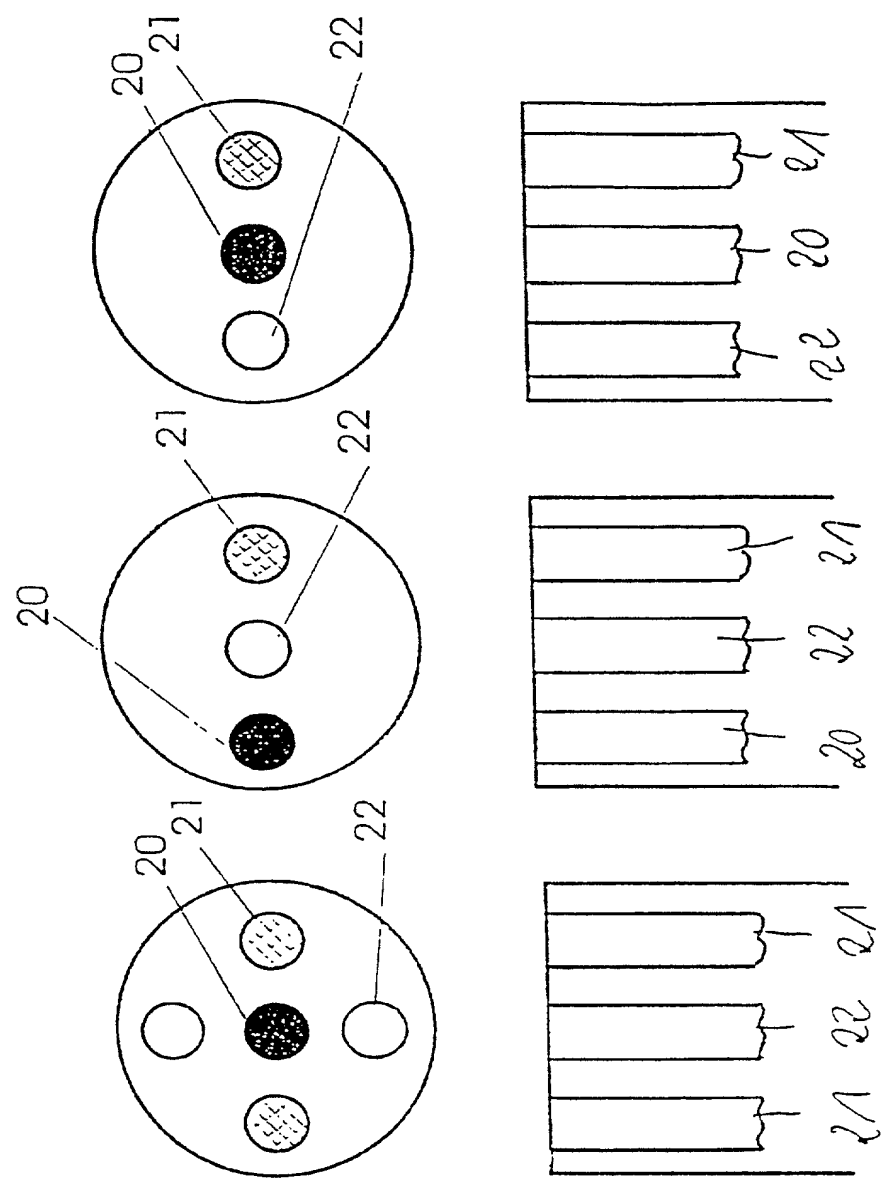


Figure 2a

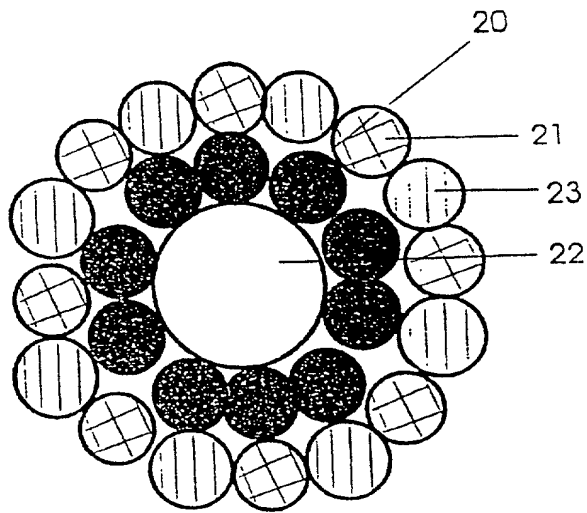
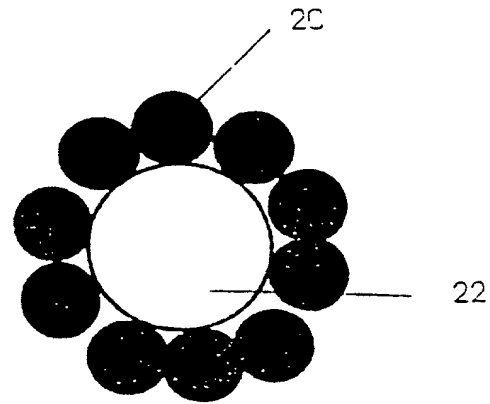
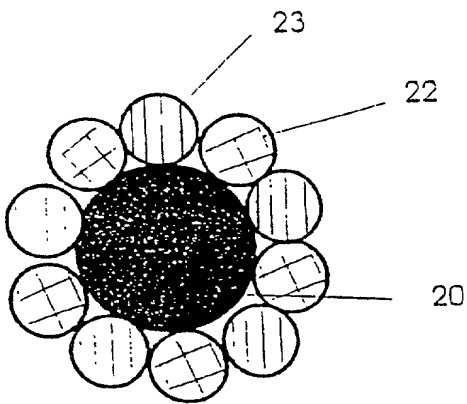
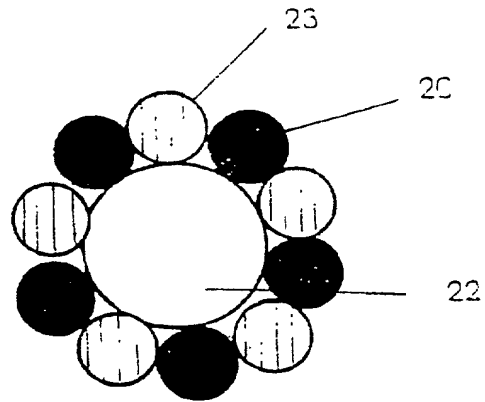
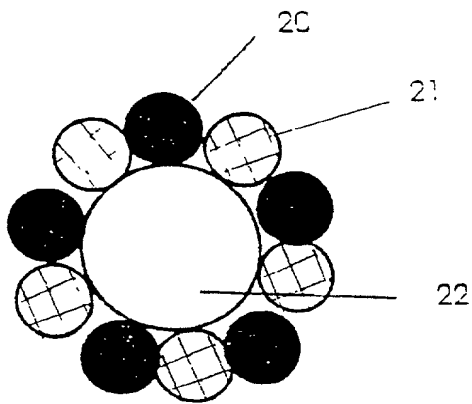
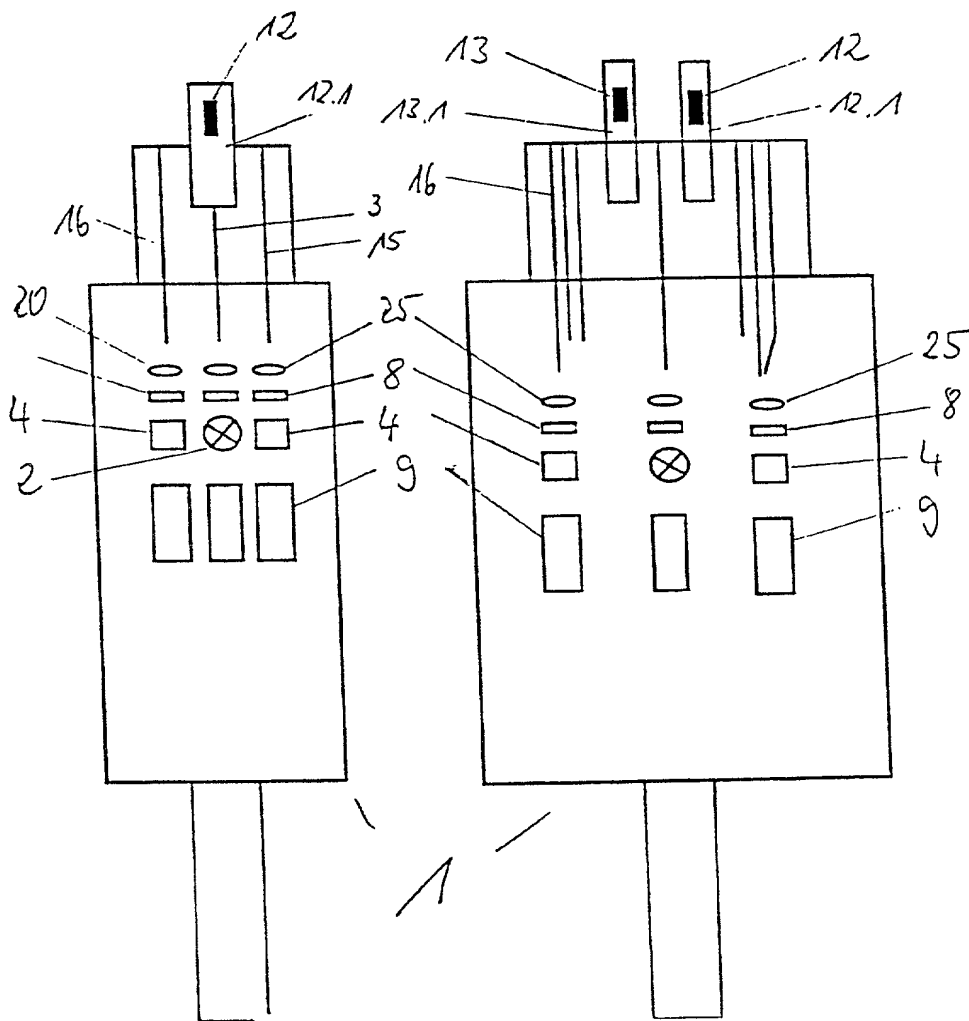


Figure 2b

Figur 3



Figur 3a

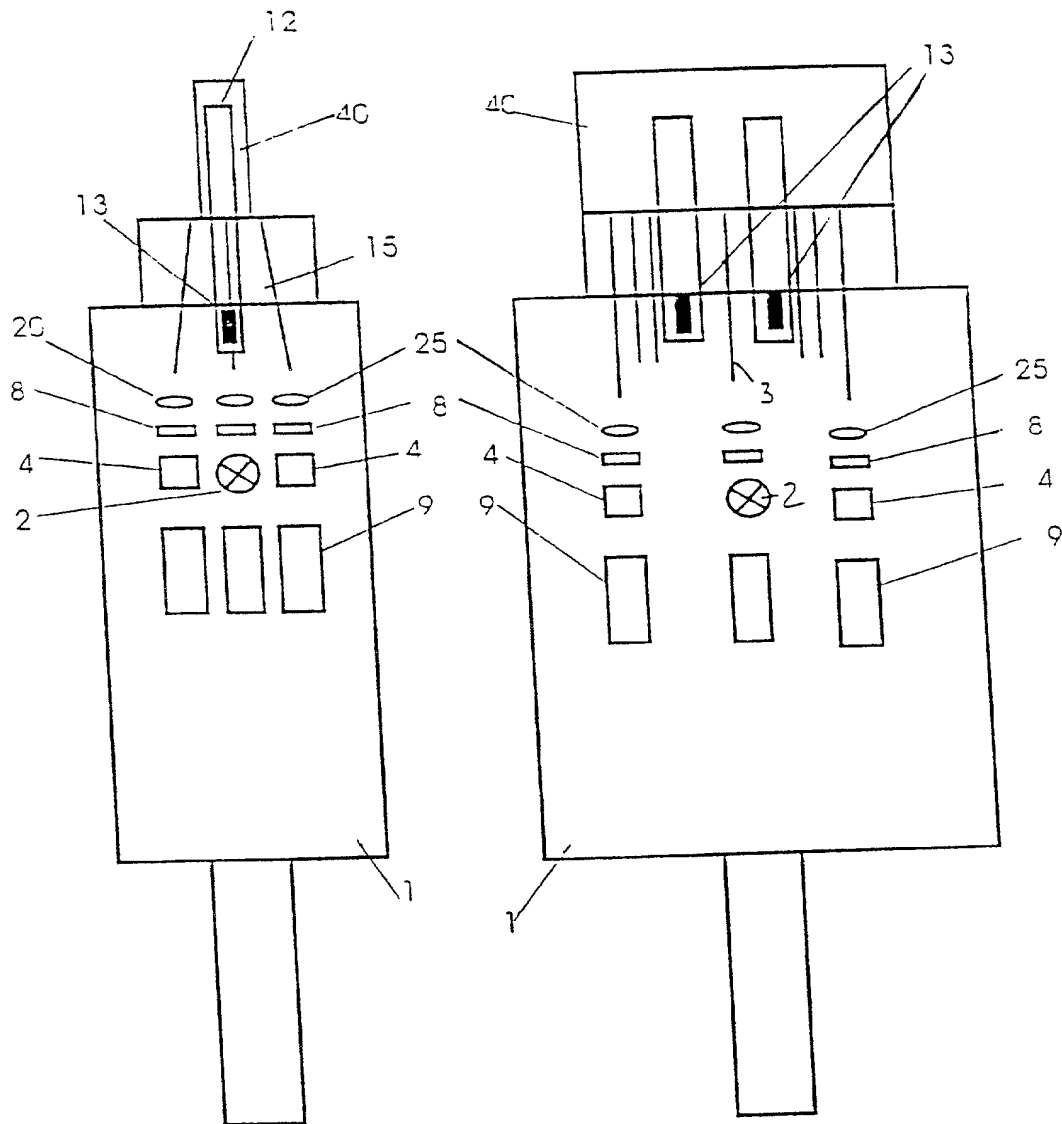
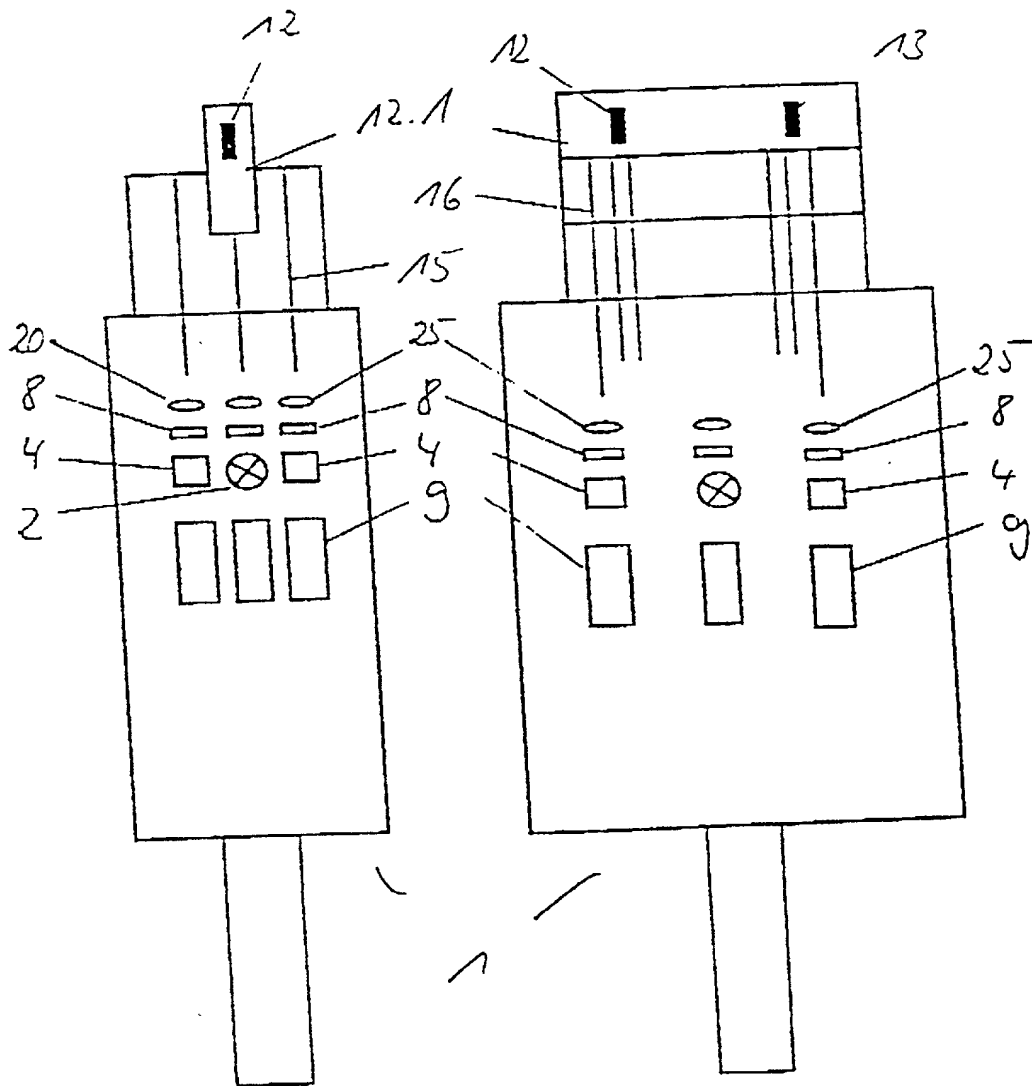


FIGURE 3b



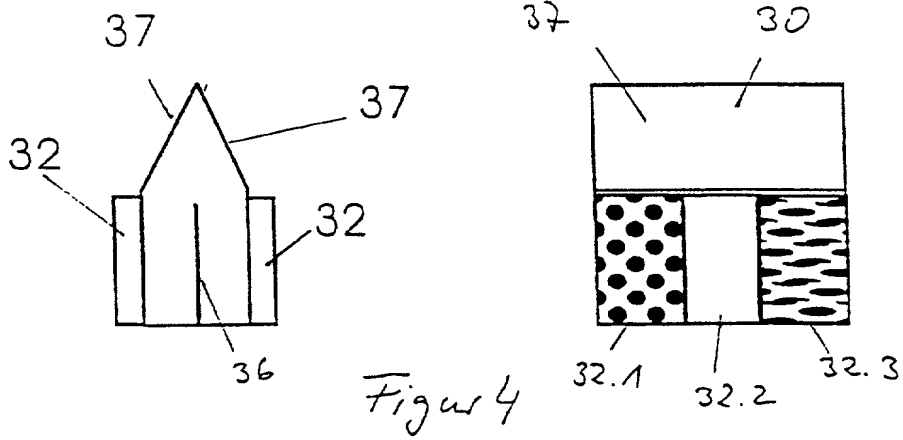


Figure 4

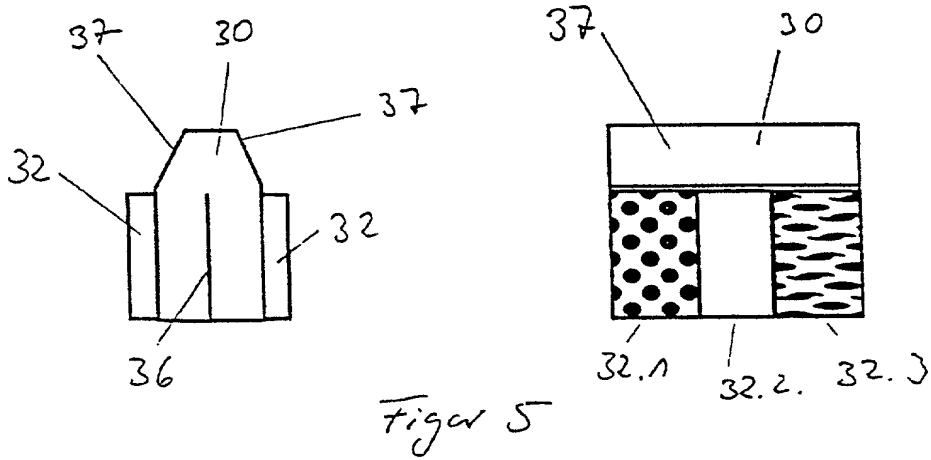
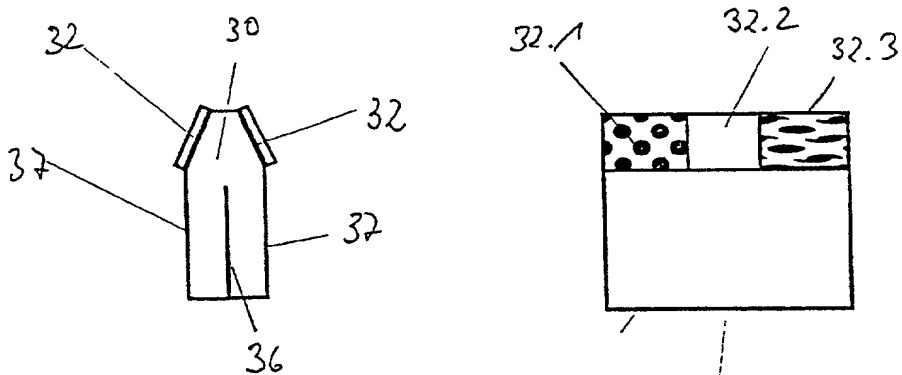


Figure 5



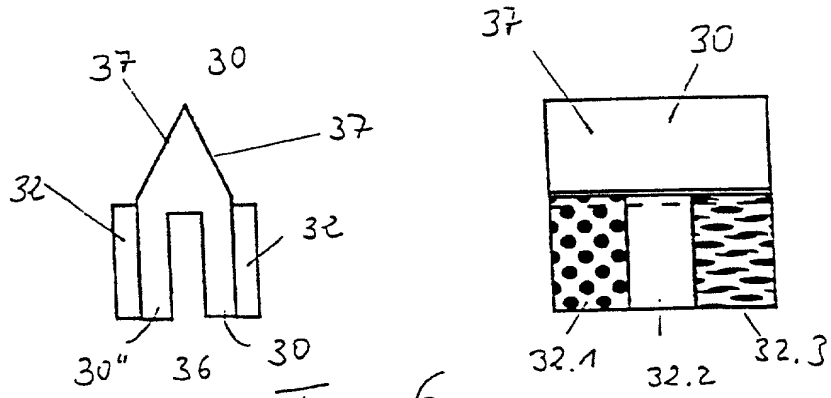


Figure 6

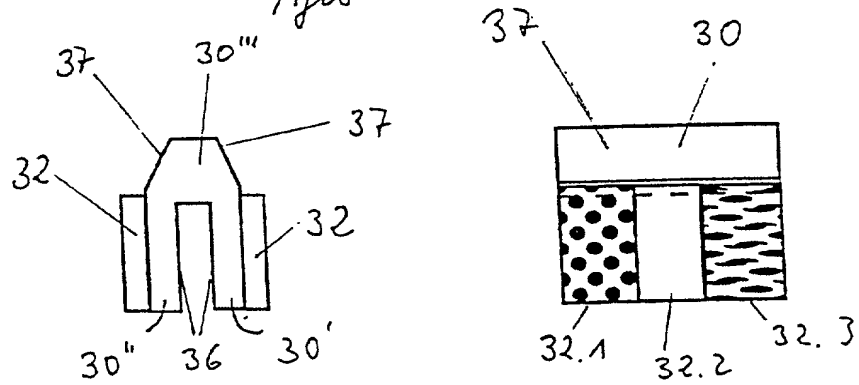


Figure 7

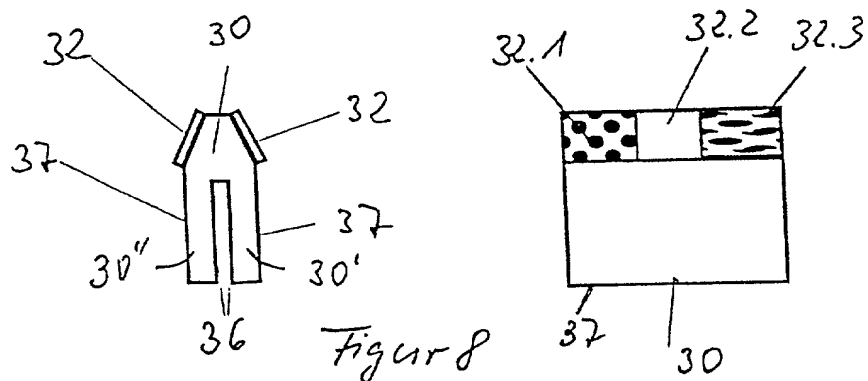
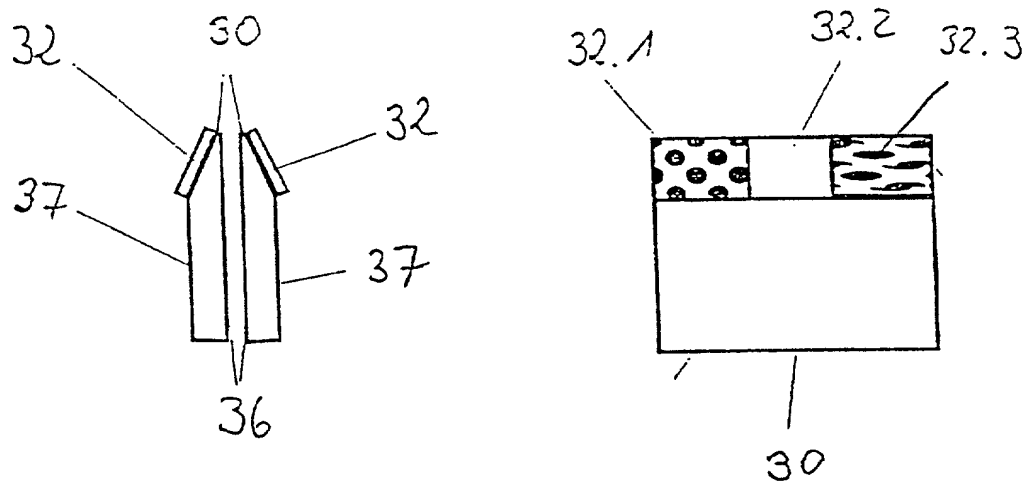
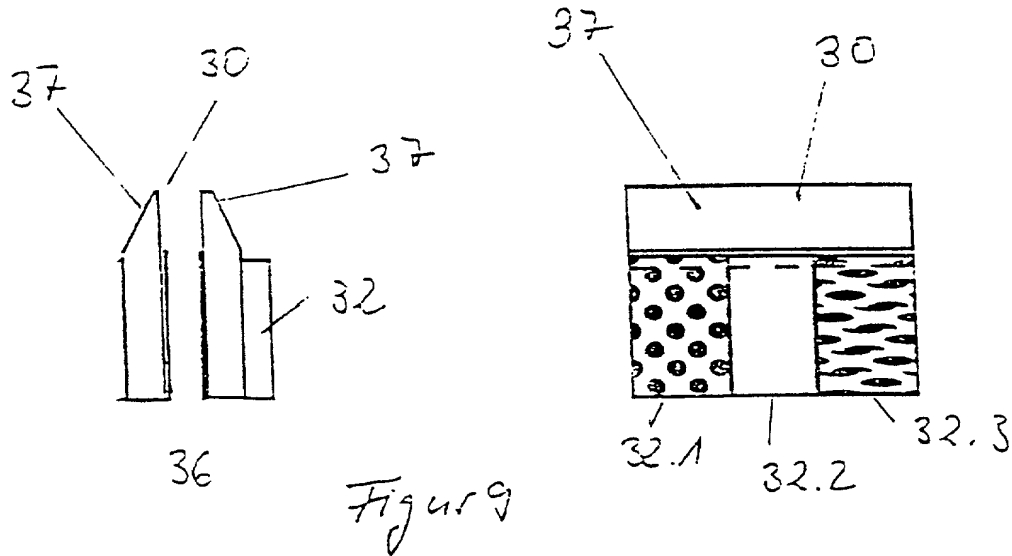


Figure 8



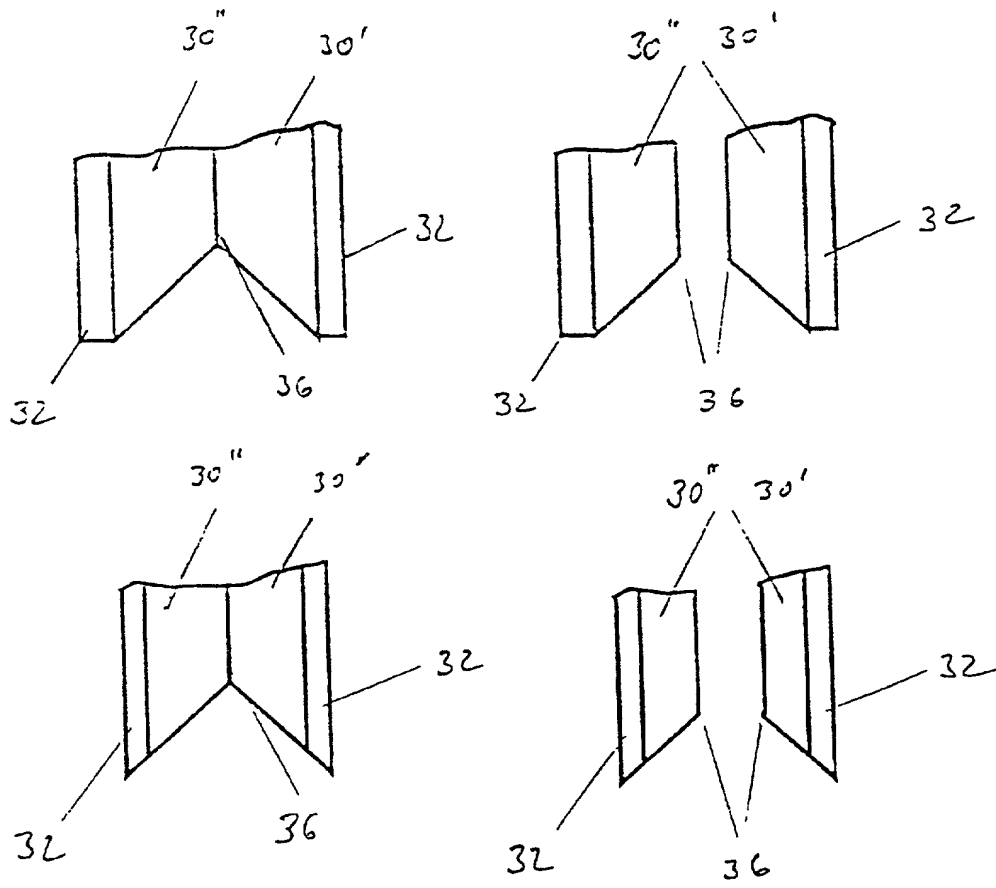


Figure 11

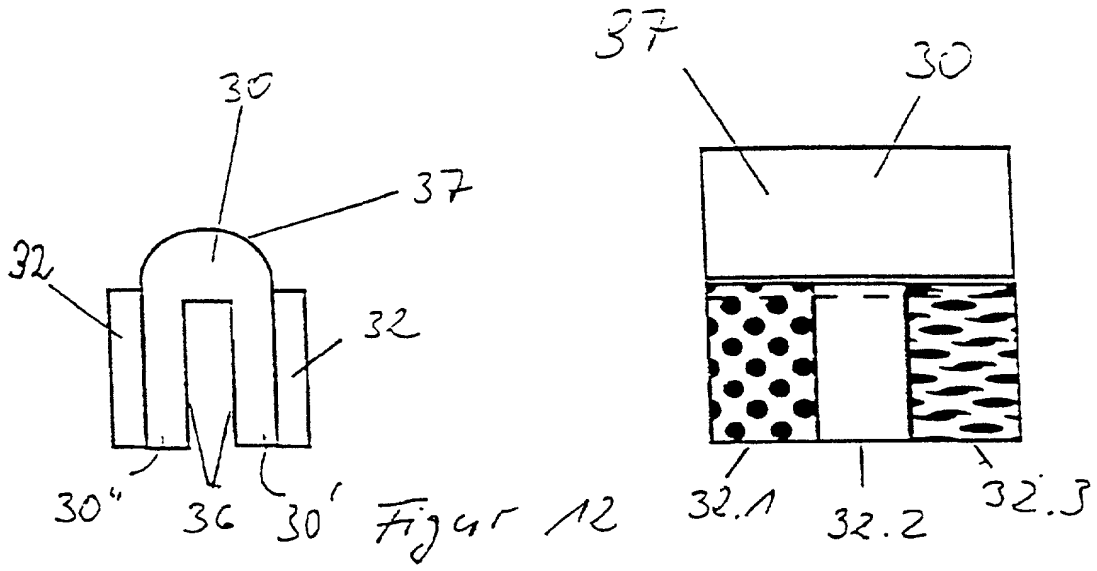


Figure 12

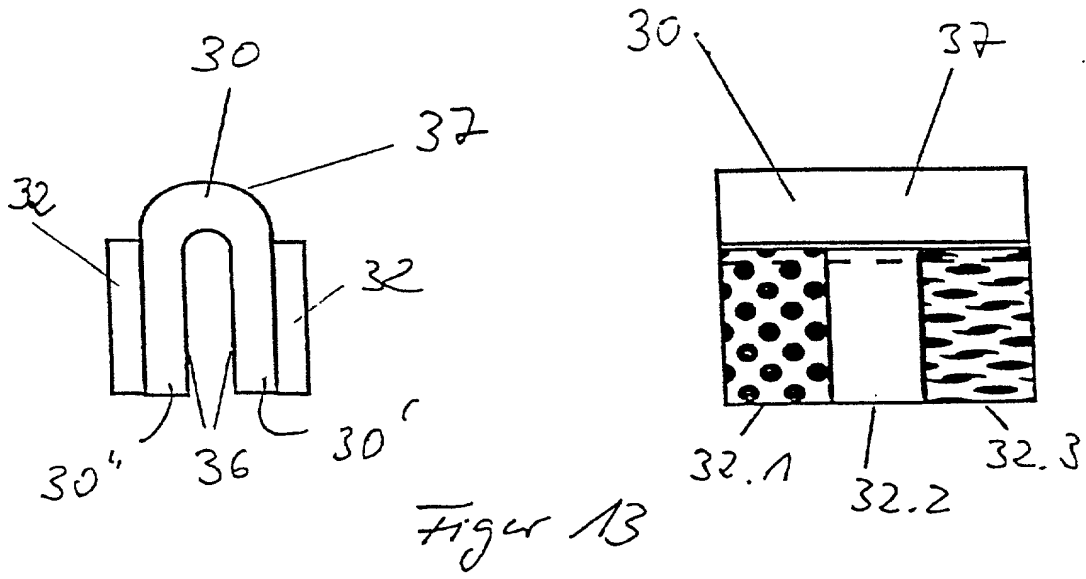
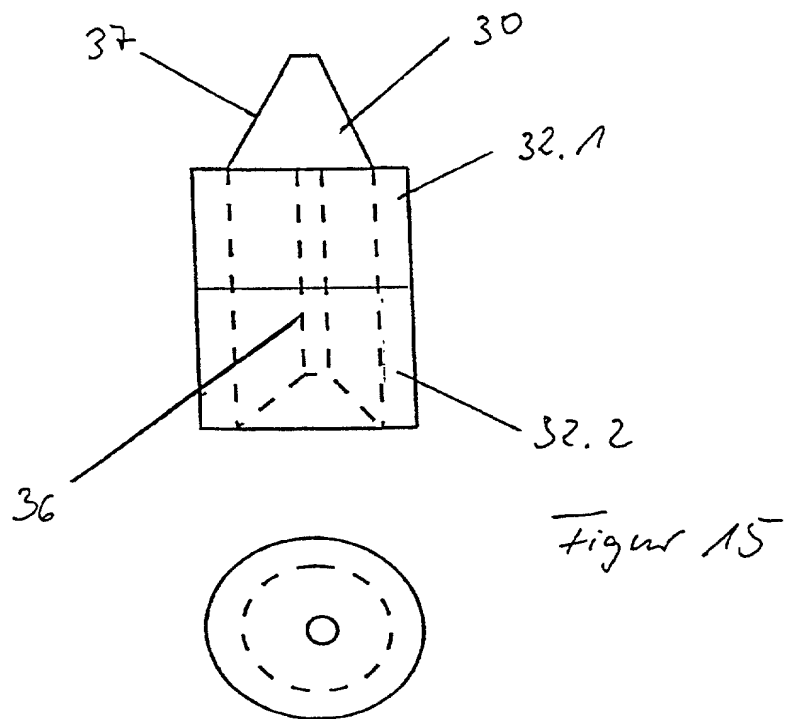
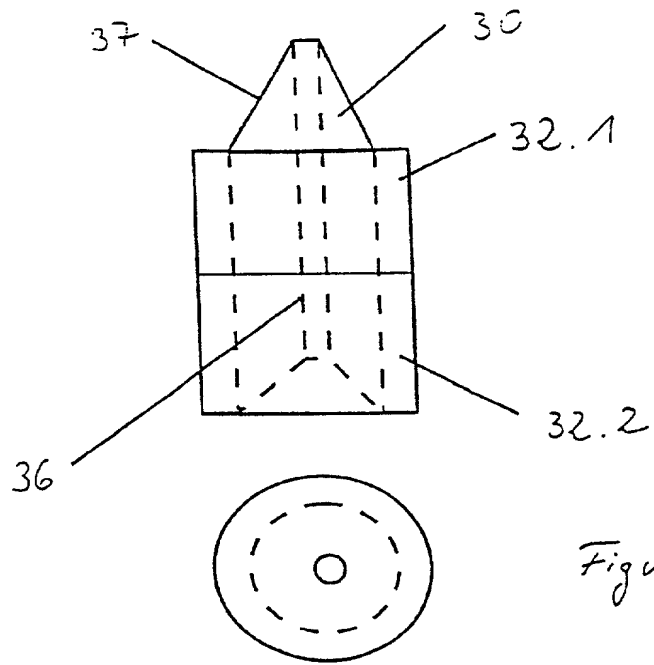


Figure 13



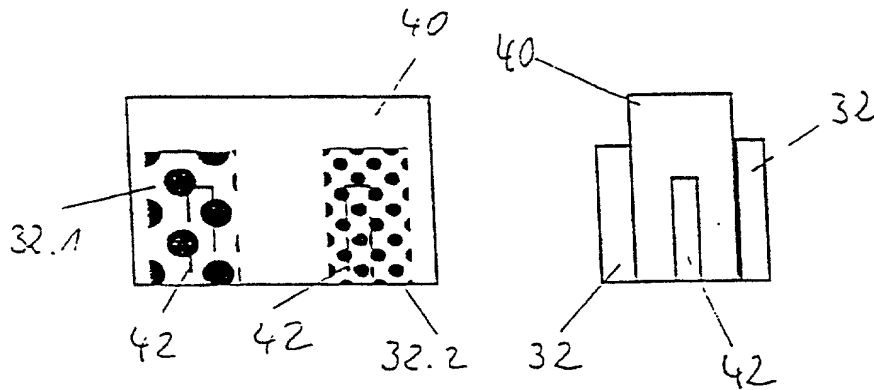


Figure 16

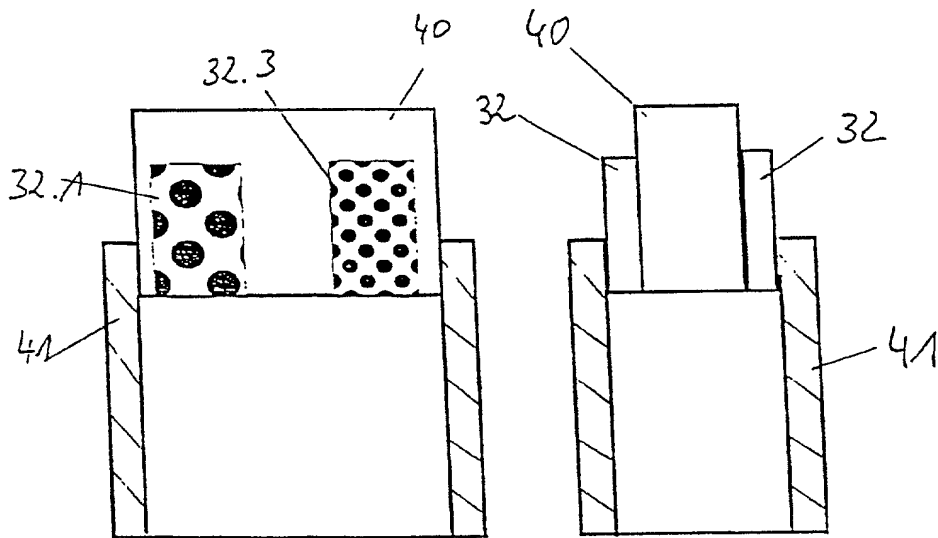


Figure 17



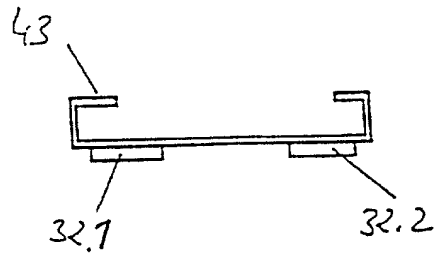
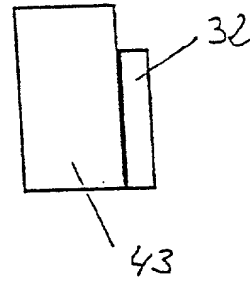
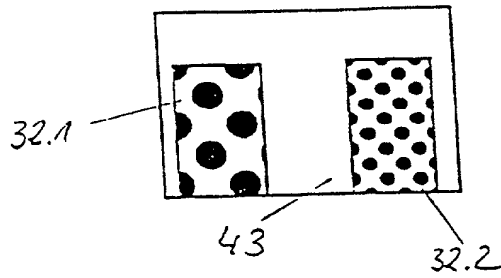


Figure 19

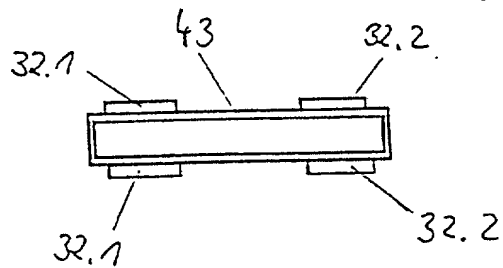
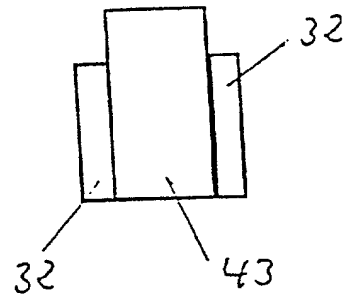
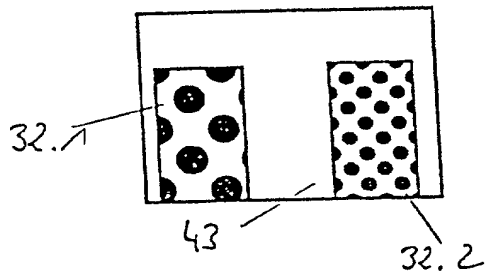


Figure 20

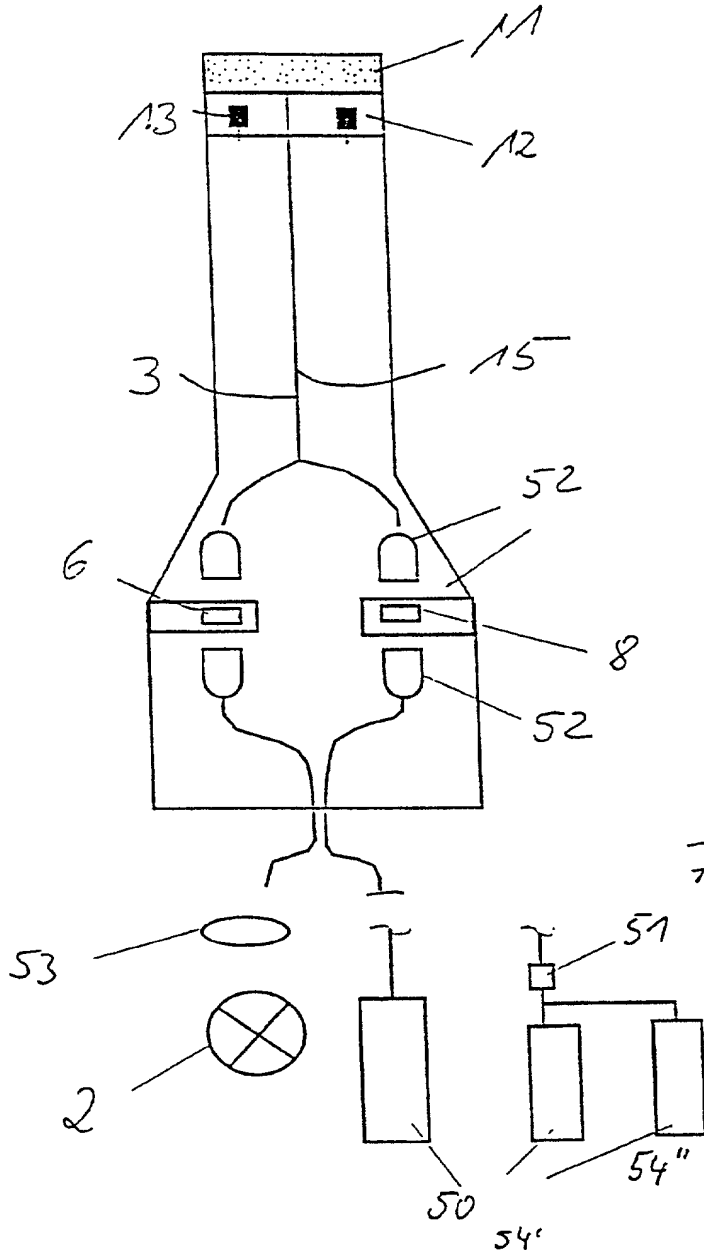
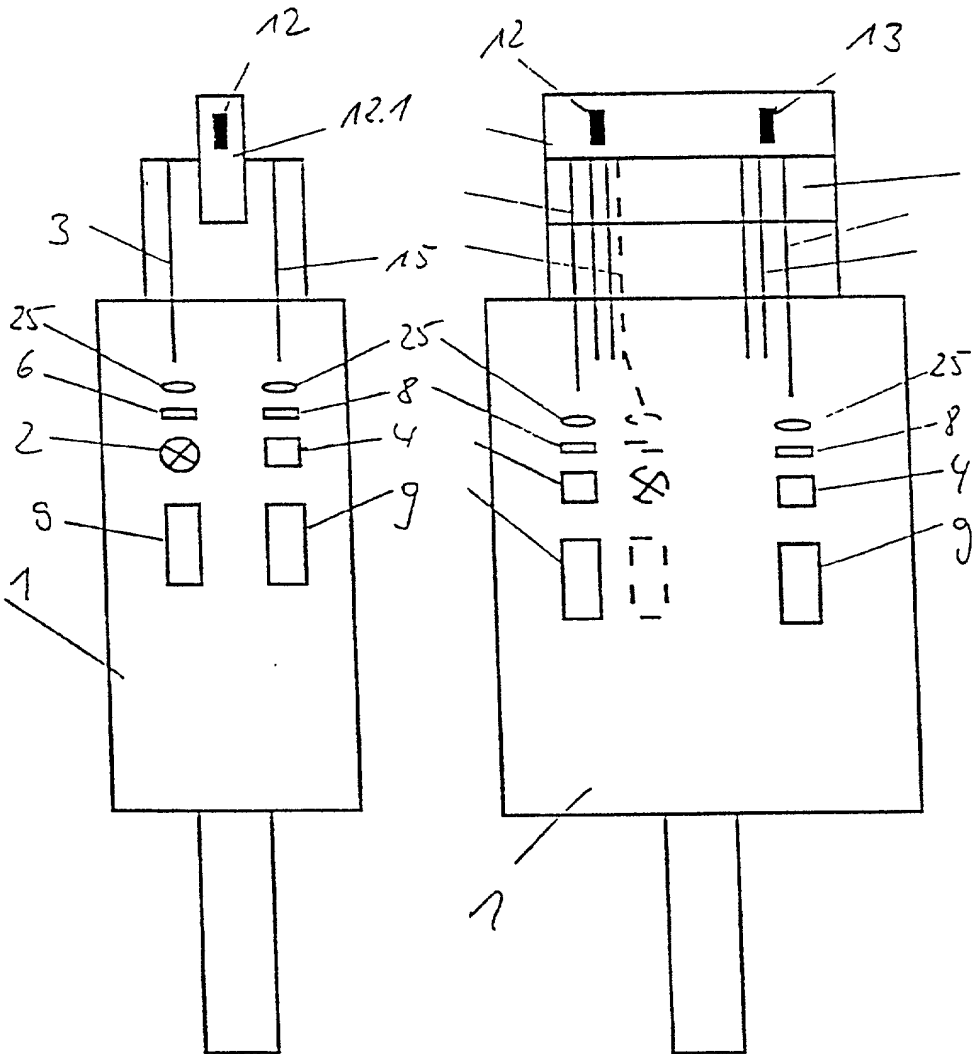


Figure 21

Figure 22



# DECLARATION FOR PATENT APPLICATION

Docket Number (Optional)

1-14746

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **"Device For Measuring Light-Activated Fluorescence And Its Use"** the specification of which

is attached hereto unless the following box is checked:

USSN 09/423,534

☒ was filed on 12 May 1998 as United States Application Number or PCT International Application Number PCT/DE98/01316 and was amended on 16 April & 17 May 1999 (applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>197 19 422.2</u>	<u>Germany</u>	<u>12 May 1997 (12.05.97)</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
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I hereby claim the benefits under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
-------------------------------	------------------------

_____ (Application Number)	_____ (Filing Date)
-------------------------------	------------------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

_____ (Application Number)	_____ (Filing Date)	_____ (Status -- patented, pending, abandoned)
-------------------------------	------------------------	---

_____ (Application Number)	_____ (Filing Date)	_____ (Status -- patented, pending, abandoned)
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The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from Pfenning, Meinig & Partner as to any action to be taken in the Patent and Trademark Office regarding this

application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the

Patent and Trademark Office connected therewith: see attached Registered Practitioner Information Sheet

Address all telephone calls to Phillip S. Oberlin at telephone number (419) 249-7149

Address all correspondence to Marshall & Melhorn, Four SeaGate - 8th Floor, Toledo, Ohio 43604  
USA Attn: Phillip S. Oberlin

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) MATTHIAS LAU

Inventor's signature [Signature] Date 11-24-99 November 24, 1999

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Full name of second joint inventor, if any (given name, family name) \_\_\_\_\_

Second Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

☐ Additional inventors are being named on separately numbered sheets attached hereto.



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**REGISTERED PRACTITIONER  
INFORMATION  
(Supplemental Sheet)**

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D. EDWARD DOLGORUKOV	<u>26,266</u>	PATRICK D. FLOYD	<u>39,671</u>

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